

# Linear Algebraic Quantifiers

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# Descriptive Complexity

A central question in the field of *Descriptive Complexity* is the question of whether there is a *logic for P*.

On *ordered structures* **FP**—the extension of first-order logic with a fixed-point operator—suffices. **(Immerman-Vardi)**

**FP** is not sufficient in the absence of order. This can be shown by constructing properties in **P** not definable in  $L_{\infty\omega}^\omega$ —finite variable infinitary logic.

Many extensions of **FP** with additional operators have been studied. These are studied through the expressive power of  $L_{\infty\omega}^\omega(Q)$ , the extension of  $L_{\infty\omega}^\omega$  with a set  $Q$  of *quantifiers*.

# Generalized Quantifiers

A *Lindström quantifier* of relational vocabulary  $\sigma$  is given by:

$K$ —a class of  $\sigma$ -structures, closed under isomorphisms.

In this talk, we only consider *finite structures*.

$L(K)$  is the extension of a *logic*  $L$  with the quantifier for  $K$ .

More generally, for a collection  $Q$  of quantifiers,  $L(Q)$  is the extension of  $L$  with *all* quantifiers in  $Q$ .

# Logics

Logics  $L$  we are interested in for the purpose of this talk are

- *first-order logic*— $L_{\omega\omega}$  or FO.
- *infinitary logic*— $L_{\infty\omega}$  or  $L_{\omega_1\omega}$ .  
The closure of FO under *infinitary* (or *countable*) conjunctions.
- *$k$ -variable infinitary logic*— $L_{\infty\omega}^k$ .
- *finite-variable infinitary logic*— $L_{\infty\omega}^\omega = \bigcup_{k < \omega} L_{\infty\omega}^k$ .

Note that the expressive power of  $L_{\omega_1\omega}$  on finite structures is *complete*. That is to say, it can define every *isomorphism-closed* class of structures.

# Logics with Generalized Quantifiers

If  $\sigma = (R_1, \dots, R_r)$  we get a formula

$$K\mathbf{x}(\varphi_1(\mathbf{x}_1), \dots, \varphi_r(\mathbf{x}_r)).$$

$$|\mathbf{x}_i| = \text{ar}(R_i)$$

The *arity* of the quantifier  $K$  is  $\max_i \text{ar}(R_i)$ .

$L(K)$  is the *minimal* extension of  $L$  that can express  $K$  and is closed under the operations of  $L$ , such as

- *Boolean operations*
- *particularization* (i.e. existential quantification)

# Equivalences

For a set of quantifiers  $Q$ , write

$$\mathbb{A} \equiv_Q^k \mathbb{B}$$

to denote that  $\mathbb{A}$  and  $\mathbb{B}$  are not distinguishable in  $L_{\infty\omega}^k(Q)$ .

For a relational vocabulary  $\tau$ , we say that  $\equiv_Q^k$  is *discrete* if for any pair  $\mathbb{A}, \mathbb{B}$  of  $\tau$ -structures

$$\mathbb{A} \equiv_Q^k \mathbb{B} \quad \text{if, and only if,} \quad \mathbb{A} \cong \mathbb{B}$$

The following are equivalent:

- There is some  $k$  such that  $\equiv_Q^k$  is discrete on  $\tau$ -structures.
- The expressive power of  $L_{\infty\omega}^\omega(Q)$  is complete on  $\tau$ -structures.

# Arity Hierarchy

Let  $Q_n$  denote the collection of *all*  $n$ -ary quantifiers.

## Theorem (Hella)

For every  $n$ , there is a vocabulary  $\tau$  such that  $\equiv_{Q_n}^k$  is not discrete on  $\tau$ -structures for any  $k$ .

The class of structures not definable in  $L_{\infty\omega}^\omega(Q_n)$  can be constructed to be decidable in  $P$ .

*Note:*  $\tau$  necessarily contains relations of arity  $\geq n + 1$ .

# Unary and Binary Quantifiers

$L_{\infty\omega}^{\omega}(Q_1)$  has the same expressive power as  $L_{\infty\omega}^{\omega}(C)$ —where  $C$  is the collection of all *unary counting quantifiers*.

$$\exists^{\geq n}, \exists^{\leq n}$$

Graph properties in  $P$  not definable in  $L_{\infty\omega}^{\omega}(C)$  were constructed by **(Cai-Fürer-Immerman)**.

$L_{\infty\omega}^{\omega}(Q_2)$  can express *all* properties of graphs.

These logics are not closed under *first-order interpretations*.

Closure under first-order reductions is a desirable property in *descriptive complexity*, as most interesting complexity classes have it.

# First-Order Interpretations

An FO *interpretation*  $\theta$  of a  $\tau$ -structure  $\mathbb{B}$  in a  $\sigma$ -structure  $\mathbb{A}$  is a family of first-order formulas which define the *universe* and *relations* of  $\mathbb{B}$  when interpreted in  $\mathbb{A}$ .

This defines a map from  $\sigma$ -structures to  $\tau$ -structures, so we write  $\mathbb{B} = \theta(\mathbb{A})$ .

An FO *reduction* of a class of structures  $\mathcal{C}$  to a class  $\mathcal{D}$  is a single FO interpretation  $\theta$  such that  $\mathbb{A} \in \mathcal{C}$  if, and only if,  $\theta(\mathbb{A}) \in \mathcal{D}$ .

We write  $\mathcal{C} \leq_{\text{FO}} \mathcal{D}$ .

# Vectorized Quantifiers

Let  $\sigma = (R_1, \dots, R_r)$  be a relational vocabulary.

A minimal logic extending  $L$ , able to express a property  $K$  of  $\sigma$ -structures, and *closed* under first-order interpretations is given by  $L(\overline{K})$ , where  $\overline{K}$  is the collection  $\{K_d \mid d \in \omega\}$  of Lindström quantifiers in the vocabularies

$$\sigma_d = (U_d, \sim_d, (R_{i,d})_{i \in [r]})$$

with  $\text{ar}(U_d) = d$ ,  $\text{ar}(\sim_d) = 2d$  and  $\text{ar}(R_{i,d}) = d \cdot \text{ar}(R_i)$ ,  
and

$$\mathbb{A} \in K_d \quad \text{iff} \quad (U_d^{\mathbb{A}} / \sim_d^{\mathbb{A}}, (R_{i,d}^{\mathbb{A}})_{i \in [r]}) \in K.$$

# Vectorizations of Unary Quantifiers

Note that  $\overline{K} \notin Q_n$  for any  $n \in \omega$ .

Let

$$\overline{Q_n} = \bigcup_{K \in Q_n} \overline{K}$$

More generally, for any collection  $S$  of quantifiers, let  $\overline{S}$  denote the collection of *vectorizations* of quantifiers in  $S$ .

## Theorem

$$L_{\infty\omega}^\omega(\overline{Q_1}) \leq L_{\infty\omega}^\omega(C).$$

In short, vectorization adds nothing to *unary* quantifiers.

Counting tuples can always be replaced by counting elements.

# Vectorizations of Binary Quantifiers

## Theorem

$$L_{\infty\omega} \leq L_{\omega\omega}(\overline{Q_2})$$

In short, with vectorized binary quantifiers, we can express *everything*.

This follows from the fact that for any vocabulary  $\tau$ , there is a *first-order definable bi-interpretation* to the vocabulary with one binary relation.

So, for any class  $K$  of  $\sigma$ -structures, there is a *first-order interpretation*  $\Phi$  and a class of graphs  $G$  such that

$$\Phi(\mathbb{A}) \in G \quad \text{iff} \quad \mathbb{A} \in K.$$

# Restricted Classes of Binary Quantifiers

Thus, when it comes to vectorized quantifiers, the *arity hierarchy* has just two levels.

To get *interesting* classes of vectorized quantifiers beyond the unary, we consider *proper subclasses* of  $\overline{Q_2}$ .

One way to get interesting classes is to *strengthen* the requirement of *isomorphism invariance*.

One such strengthening gives us the *linear algebraic quantifiers*.

# Isomorphism Closure

Fix a vocabulary  $\sigma = (R_1, \dots, R_r)$  where all relation symbols are *binary*.

Two  $\sigma$ -structures  $\mathbb{A} = (A, R_1^A, \dots, R_r^A)$  and  $\mathbb{B} = (B, R_1^B, \dots, R_r^B)$  are *isomorphic* if there is a bijection  $\beta : A \rightarrow B$  with  $\beta(R_i^A) = R_i^B$ , for all  $i \in [r]$ .

Equivalently, if we fix bijections between  $A$  and  $\{1, \dots, n\}$  on the one hand and  $B$  and  $\{1, \dots, n\}$  on the other, then we can view each  $R_i^A$  or  $R_i^B$  as a  $n \times n$  matrix with entries in  $\{0, 1\}$ .

An *isomorphism* is then an  $n \times n$  *permutation matrix*  $P$  such that

$$PR_i^A P^{-1} = R_i^B \quad \text{for all } i.$$

# Linear Algebraic Equivalence

For a field  $\mathbb{F}$ , say that  $\mathbb{A} = (A, R_1^A, \dots, R_r^A)$  and  $\mathbb{B} = (B, R_1^B, \dots, R_r^B)$  are  $\mathbb{F}$ -linear algebraically equivalent if

there is an invertible matrix  $I \in GL_n(\mathbb{F})$  such that

$$IR_i^A I^{-1} = R_i^B \quad \text{for all } i.$$

Since all the  $R_i$  are  $\{0, 1\}$ -matrices, the existence of such an  $I$  only depends on the *characteristic* of  $\mathbb{F}$ .

Write  $\mathbb{A} \cong_p \mathbb{B}$  to denote that the two structures are  $\mathbb{F}_p$ -linear algebraically equivalent, where

$p \in \{0\} \cup \text{Primes}$  and  $\mathbb{F}_p$  is the *prime field* of characteristic  $p$ .

# Module Isomorphism

There is a way to see the  $\mathbb{F}_p$ -linear algebraic equivalence of  $\mathbb{A} = (A, R_1^A, \dots, R_r^A)$  and  $\mathbb{B} = (B, R_1^B, \dots, R_r^B)$  as the *isomorphism* of a pair of *modules* over the *polynomial ring*

$$\mathbb{F}_p[x_1, \dots, x_r].$$

This is useful in establishing that the problem of deciding  $\mathbb{A} \cong_p \mathbb{B}$  is in polynomial time.

# Linear Algebraic Quantifiers

Write  $L_p$  for the collection of all quantifiers over vocabularies of *binary* relations which are invariant under  $\cong_p$ .

For  $\Omega \subseteq \{0\} \cup \text{Primes}$ , let

$$L_\Omega = \bigcup_{p \in \Omega} L_p.$$

# Rank Quantifiers

For any  $p \in \{0\} \cup \text{Primes}$ , and  $t \in \omega$ , let  $\text{rk}_p^t$  be the quantifier consisting of structures  $(A, M)$  where  $M \subseteq A \times A$  and

$M$  seen as a matrix in  $\mathbb{F}_p^{A \times A}$  has *rank* at least  $t$ .

$\text{Rk}_p$  is the collection of quantifiers  $\{\text{rk}_p^t \mid t \in \omega\}$ .

$\text{Rk}$  is the collection of quantifiers  $\bigcup_p \text{Rk}_p$ .

$L_{\infty\omega}^\omega(\overline{\text{Rk}})$  subsumes *rank logic*, the extension of fixed-point logic with *rank operators* which has been studied in descriptive complexity as a candidate logic for  $\text{P}$ .

# Linear Algebraic Logic

For any  $\Omega \subseteq \{0\} \cup \text{Primes}$ , we define the  $\Omega$ -linear algebraic logics.

$$\text{LA}^k(\Omega) = L_{\infty\omega}^k(\overline{L_\Omega})$$

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Also, write  $\equiv^{\text{LA}^k(\Omega)}$  to denote indistinguishability in  $\text{LA}^k(\Omega)$ . That is, it is another name for  $\equiv_{L_\Omega}^k$ .

This relation is decidable in *polynomial time* (for fixed  $k$ ) using the module isomorphism algorithm of **Chistov et al.**

# Invertible Map Game

The game is played between *Spoiler* and *Duplicator* on  $\mathbb{A}$  and  $\mathbb{B}$ . We have (as usual)  $k$  pebbles each on elements of  $\mathbb{A}$  and  $\mathbb{B}$ .

Play proceeds in the following steps:

1. *Spoiler* announces  $p_1, \dots, p_{2m} \in [k]$  to move.
2. *Spoiler* chooses a *characteristic*  $p$ .
3. *Duplicator* gives a *partition* of  $\mathbb{A}^{2m}$  into parts  $P_1, \dots, P_t$  and of  $\mathbb{B}^{2m}$  into parts  $Q_1, \dots, Q_t$ .

*Note:*  $P_i$  can be thought of as a  $\mathbb{A}^m \times \mathbb{A}^m$  0-1 matrix  $M_i$  with  $(M_i)_{\bar{a}\bar{b}} = 1$  iff  $\bar{a}\bar{b} \in P_i$ . Similarly,  $Q_i$  is a  $\mathbb{B}^m \times \mathbb{B}^m$  matrix  $N_i$ .

The partitions must satisfy the condition that there is an *invertible*  $I \in \mathbb{F}_p^{\mathbb{B}^m \times \mathbb{A}^m}$  such that  $M_i = I^{-1}N_iI$  for all  $i$ .

4. *Spoiler* chooses some  $i \in \{1, \dots, t\}$  and an  $\bar{a} \in P_i$  and  $\bar{b} \in Q_i$  on which the  $2m$  pebbles are placed.

# Characteristic Zero

**Theorem (Holm; D. Vagnozzi)**

$$LA^\omega(\{0\}) \leq L_{\infty\omega}^\omega(C).$$

Linear algebra over fields of *characteristic zero* can be *simulated by counting*.

This essentially follows from the following observation.

For any vocabulary  $\sigma$  of binary relations, and two  $\sigma$ -structures  $\mathbb{A}$  and  $\mathbb{B}$ ,

$$\mathbb{A} \equiv_C^3 \mathbb{B} \Rightarrow \mathbb{A} \cong_0 \mathbb{B}.$$

$\equiv_C^3$  can be characterized in terms of *coherent algebras*, and isomorphism of such algebras is witnessed by invertible matrices.

# Characteristic Two

**Theorem (D., Grohe, Holm, Laubner 2009)**

$$L_{\omega\omega}^3(L_2) \not\subseteq L_{\infty\omega}^\omega(C)$$

**Cai, Fürer and Immerman** give a construction of pairs of graphs  $G_k, H_k (k \in \omega)$  such that

- $G_k \equiv_C^k H_k$ ; and
- $G_k \not\cong H_k$ .

We can show that there is a single formula  $\varphi$  of  $L_{\omega\omega}^3(L_2)$  (indeed of  $L_{\omega\omega}^3(\text{Rk}_2)$ ) such that

$$G_k \models \varphi; \quad H_k \not\models \varphi \quad \text{for all } k.$$

# Distinct Characteristics

## Theorem (D., Holm 2012)

For  $p, q \in \text{Primes}$  with  $p \neq q$ ,

$$L_{\omega\omega}^{\omega}(L_p) \not\leq L_{\infty\omega}^{\omega}(L_q).$$

For any prime  $p$ , we can construct a class of structures  $\text{CFI}(p)$  which codes solvable systems of equations over  $\mathbb{F}_p$ .

We use a simple version of the *invertible map game* to show that this is not expressible in  $L_{\infty\omega}^{\omega}(L_q)$ .

*Note:* We do not consider vectorizations here.

# Rank Logics

Let  $p \in \text{Primes}$  and  $P = \text{Primes} \setminus \{p\}$ .

**Theorem (Grädel, Pakusa 2017)**

$$L_{\omega\omega}^\omega(\text{Rk}_p) \not\leq L_{\infty\omega}^\omega\left(\bigcup_{q \in P} \overline{\text{Rk}_q}\right)$$

This is proved by showing that the structures in  $\text{CFI}(p)$  can be constructed to be *homogeneous* in a way that guarantees that the quantifiers  $\text{Rk}_q$ , even vectorized, can be defined in  $L_{\infty\omega}^\omega(C)$ .

# Vectorizations

Let  $p \in \text{Primes}$  and  $P = \text{Primes} \setminus \{p\}$ .

**Theorem (D. Grädel, Pakusa 2019)**

$$\text{LA}^\omega(\{p\}) \not\leq \text{LA}^\omega(P).$$

In short, as long as  $\Omega$  does not contain *all primes*,  $\text{LA}^\omega(\Omega)$  is *not complete*.

This is established by showing that on the structures in  $\text{CFI}(p)$ , the equivalence relation  $\equiv^{\text{LA}^k(P)}$  can itself be defined in  $L_{\infty\omega}^\omega(C)$ .

This uses the homogeneity of structures in  $\text{CFI}(p)$ , along with the fact that the automorphism groups of the structures are Abelian  $p$ -groups. This enables us to represent them as *semisimple*  $\mathbb{F}_q$ -algebras and apply *Maschke's theorem*.

# Rank Logic Again

## Theorem (Lichter 2021)

There is a polynomial-time decidable property that is not definable in  $L_{\infty\omega}^{\omega}(\overline{\text{Rk}})$ .

The construction is a CFI-like collection of structures encoding systems of linear equations over the ring  $\mathbb{Z}/\mathbb{Z}_{2^m}$  for growing values of  $m$ .

The proof uses the Grädel-Pakusa argument to show that the quantifiers  $\text{Rk}_p$  for  $p \neq 2$  are useless on these structures.

It then uses the *invertible map game* to show that  $\text{LA}^{\omega}(\{2\})$  does not distinguish them.

# All Characteristics

## Theorem (D., Grädel, Lichter 2022)

Taking  $\Omega$  to be the set of all characteristics,

*There is a polynomial-time decidable property that is not definable in  $\text{LA}^\omega(\Omega)$ .*

The proof combines the construction of (Lichter 2021) with the algebraic machinery of (D., Grädel, Pakusa 2019).

In particular, this shows that the expressive power of  $\text{LA}^\omega$  is not complete, and for each  $k$ , the equivalence relation  $\equiv^{\text{LA}^k}$  is not *discrete*.

# Conclusions

*Linear Algebraic quantifiers* are a *natural* class of generalized quantifiers obtained by replacing *isomorphism invariance* by a stronger condition.

They extend the expressive power of counting quantifiers, but still have nice *algorithmic* properties, like polynomial-time decidable equivalence.

We have developed sophisticated algebraic machinery for analysing their expressive power, and show it is not complete.