

On three games of logic

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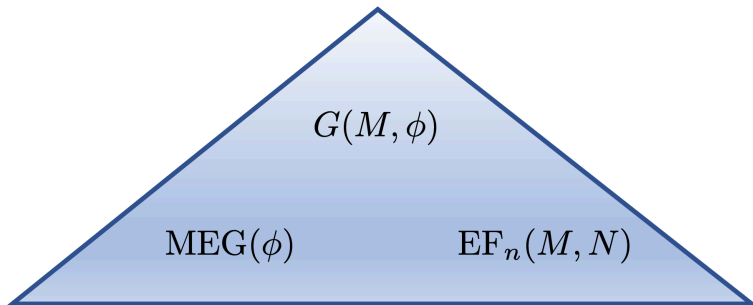
September 27, 2022

The three games

1. **Evaluation Game:** “ ϕ is true in M ?”
2. **Model Existence Game:** “ ϕ is consistent?”
3. **EF (Ehrenfeucht-Fraïssé) game:** “some sentence separates M from N ?”

Really just one game. Essential to logic. Distinguishes logic from algebra, topology, analysis, etc.

The strategic balance of logic



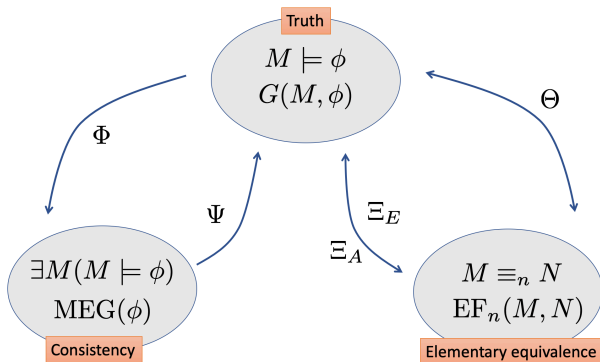
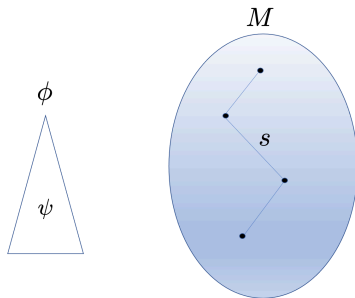


Figure: The translations of strategies.

1st game: Evaluation (a.k.a. semantic) Game $G(M, \phi)$

- Two players Abelard and Eloise.
- M a model, ϕ a sentence of $L_{\infty\omega}$.
- s an assignment.
- Pairs (ψ, s) are **positions**.
- A **token**: At any time, one of the players has the token and the other player is called “the opponent”.
- Starting position is (ϕ, \emptyset) and Eloise has the token.



- Intuitively, the one with the token defends during the game $G(M, \phi)$ the proposition that ϕ is (informally) true in M under the assignment s , and the opponent doubts it.

The **rules** in position (ψ, s) are: If ψ is

- (1) **atomic**, the game ends and the one with the token wins if s satisfies ψ in M . Otherwise the opponent wins.
- (2) $\bigvee_{i \in I} \psi_i$, the one with the token chooses $i \in I$ and the next position is (ψ_i, s) .
- (3) $\bigwedge_{i \in I} \psi_i$, the opponent chooses $i \in I$ and the next position is (ψ_i, s) .
- (4) $\exists x \theta$, the one with the token chooses $a \in M$ and the next position is $(\theta, s(a/x))$.
- (5) $\forall x \theta$, the opponent chooses $a \in M$ and the next position is $(\theta, s(a/x))$.
- (6) $\neg \theta$, the token is passed to the opponent and the next position is (θ, s) .

- We say that ϕ is **true in** M if Eloise has a winning strategy in $G(M, \phi)$.
- This is the **game-theoretical** meaning of truth in a model.
- We can go further and say that the *game* $G(M, \phi)$ **is** the **meaning** of ϕ in M . Here meaning would be a broader concept than the mere truth or falsity of ϕ .
- [Wittgenstein, 1953], [Henkin, 1961], [Hintikka, 1968]

- The game $G(M, \bigwedge_{i \in I} \psi_i)$ is intimately related to the games $G(M, \psi_i)$, $i \in I$.
- The same with $G(M, \bigvee_{i \in I} \psi_i)$, $G(M, \exists x \phi)$ and $G(M, \forall x \phi)$.
- This phenomenon is a manifestation of the broader concept of *compositionality*.
- The games $G(M \times N, \phi)$, $G(M + N, \phi)$, and $G(\Pi_i M_i / F, \phi)$ are intimately related to the games $G(M, \phi)$, $G(N, \phi)$ and $G(M_i, \phi)$ [Feferman, 1972].

- If ϕ is **propositional** i.e. has only zero-place relation symbols, no constant or function symbols, and no quantifiers, then only moves (1)-(3) occur in $G(M, \phi)$, and the assignments can be forgotten.
- If ϕ is **positive**, the game $G(M, \phi)$ has no moves of type (6).
- If ϕ is **universal**, the game $G(M, \phi)$ has no moves of type (4).
- If it is **existential**, the game has no moves of type (5).
- If **universal-existential**, then all type (5) moves come before type (4) moves.
- If we add **new logical operations** to our logic, such as generalized quantifiers or higher order quantifiers, it is clear how to modify the game $G(M, \phi)$ to accommodate the new logical operations.
- If M is a Kripke-model and ϕ a sentence of **modal logic**, the game $G(M, \phi)$ is entirely similar.

2nd game: Model Existence Game $\text{MEG}(\phi)$

- We have a sentence and we ask whether the sentence has a model. Thus this is about *consistency* and its opposite, *contradiction*.
- Is there *some* model M such that Eloise can win $G(M, \phi)$?
- Suppose ϕ is a sentence of $L_{\omega_1\omega}$. Logical operations: $\neg, \wedge_n, \vee_n, \forall$ and \exists .
- We assume that ϕ is in NNF (Negation Normal Form, negation only in front of atomic formulas).

- The game $\text{MEG}(\phi)$ has two players Abelard and Eloise.
- Intuitively, Eloise defends the proposition that ϕ **has** a model and Abelard doubts it. Abelard expresses his doubt by asking questions.
- We let $C = \{c_0, c_1, \dots, c_n, \dots\}$ be a set of **new distinct constant symbols**. Intuitively these are names of elements of the supposed model.
- A C -assignment is an assignment with values in C .

A **position** is a finite set S of pairs (ψ, s) , where s is an assignment into C . Starting position is $\{(\phi, \emptyset)\}$. Abelard chooses a pair $(\psi, s) \in S$.

- (1) $(\bigwedge_n \psi_n, s)$: Next position is $S \cup \{(\psi_n, s)\}$ for some n , and **Abelard** decides which.
- (2) $(\bigvee_n \psi_n, s)$: Next position is $S \cup \{(\psi_n, s)\}$ for some n , and **Eloise** decides which.
- (3) $(\forall x \theta, s)$: Next position is $S \cup \{(\theta, s(c/x))\}$, and **Abelard** chooses $c \in C$.
- (4) $(\exists x \theta, s)$: Next position is $S \cup \{(\theta, s(c/x))\}$, and **Eloise** chooses $c \in C$.

If $(\psi, s), (\neg\psi, s') \in S$ for atomic ψ , where $s(x) = s'(x)$ for all x in ψ , Abelard wins.

- Gentzen's natural deduction,
- [Beth, 1955],
- [Hintikka, 1955],
- [Smullyan, 1963],
- [Makkai, 1969].
- Craig Interpolation Theorem.
- Completeness Theorem.
- Preservations Theorems.

Truth \Rightarrow consistency

Theorem

Suppose $\phi \in L_{\omega_1\omega}$ is a sentence in NNF. Every *strategy* τ of *Eloise* in $\mathbf{G}(\mathbf{M}, \phi)$ determines a *strategy* $J_E(\tau)$ of *Eloise* in $\mathbf{MEG}(\phi)$. If τ is a winning strategy, then so is $J_E(\tau)$.

(We assume the vocabulary of M is countable.)

- There is a countable submodel N of M such that τ is a strategy of Eloise in $G(N, \phi)$. Let $\pi : C \rightarrow N$ be an onto map.
- A pair (ψ, s) is a **τ -position** if there is some **sequence** of positions in $G(N, \phi)$, following the rules of $G(N, \phi)$ starting with (ϕ, \emptyset) , Eloise using τ , which ends at (ψ, s) .
- A **C -translation** of the τ -position (ψ, s) is a pair (ψ, s') where s' is a C -assignment with $\pi(s'(x)) = s(x)$ for all x .
- The **strategy** $J_E(\tau)$ of Eloise in $\text{MEG}(\phi)$ is to make sure that at all times the position S consists only of C -translations of τ -positions.

$$\begin{array}{ccccc}
 C & \text{---} & N & \subseteq & M \\
 J_E(\tau) \downarrow & & & & \downarrow \tau \\
 \phi & & & & \phi
 \end{array}$$

Figure: From model to model existence.

Consistency \Rightarrow model and truth in it

Theorem

Suppose $\phi \in L_{\omega_1\omega}$ is a sentence in NNF. Every **strategy** τ of **Eloise** in **MEG**(ϕ) determines a model $M(\tau)$ and a **strategy** $J_E(\tau)$ of **Eloise** in **G**($M(\tau), \phi$). If τ is winning, then so is $J_E(\tau)$.

[Beth, 1955]

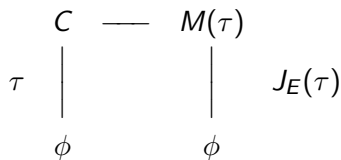


Figure: From model existence to a model.

Let σ_0 be the following **enumeration strategy** of Abelard in $\text{MEG}(\phi)$: During the game Abelard makes sure that if S is the position, then:

1. If $(\bigwedge_n \psi_n, s) \in S$, then during the game he will at some position $S' \supseteq S$ decide that the next position is $S' \cup \{(\psi_0, s)\}$ and at some further position $S'' \supseteq S'$ he will decide that the next position is $S'' \cup \{(\psi_1, s)\}$, etc.
2. If $(\bigvee_n \psi_n, s) \in S$, then at some position $S' \supseteq S$ Abelard asks Eloise to choose whether the next position is $S' \cup \{(\psi_0, s)\}$ or $S' \cup \{(\psi_1, s)\}$ or ...
3. If $(\forall x \theta, s) \in S$, then for all n during the game he will at some position $S' \supseteq S$ decide that the next position is $S' \cup \{(\theta, s(c_n/x))\}$.
4. If $(\exists x \theta, s) \in S$, then at some position $S' \supseteq S$ Abelard will ask Eloise to choose n after which the next position is $S' \cup \{(\theta, s(c_n/x))\}$.

- Let us play $\text{MEG}(\phi)$ while Abelard uses this strategy and Eloise plays τ .
- Let $\mathcal{S} = \langle S_n : n < \omega \rangle$ be the infinite sequence of positions during this play. Note that $S_n \subseteq S_{n+1}$ for all n . Let Γ be the union of all the positions in \mathcal{S} .
- We build a model $M = M(\tau)$ as follows¹: The domain of the model is $\{c_n : n \in \mathbb{N}\}$. If R is a relation symbol, then we let $R(c_{n_0}, \dots, c_{n_k})$ hold in M if $(R(x_{n_0}, \dots, x_{n_k}), s) \in \Gamma$ for some s such that $s(x_i) = c_i$ for $i = n_0, \dots, n_k$.
- The **strategy** $J_E(\tau)$ of Eloise in $G(M, \phi)$ is the following: She makes sure that if the position in $G(M, \phi)$ is (ψ, s) , then $(\psi, s) \in \Gamma$. Let us see that she can follow the strategy throughout the game:

¹We assume, for simplicity, that ϕ has a relational vocabulary and does not contain the identity symbol.

- If ψ is $\exists x\theta$, then Eloise should choose for which n the next position is $(\theta, s(c_n/x))$.
- We know $(\exists x\theta, s) \in S$ for some position S during the game, because $(\exists x\theta, s) \in \Gamma$.
- By how σ_0 was defined, Abelard has at some later position $S' \supseteq S$ asked Eloise to choose n for which the next position would be $S' \cup \{(\theta, s(c_n/x))\}$.
- The strategy τ has directed Eloise to indeed choose an n leading to the new position $S' \cup \{(\theta, s(c_n/x))\}$.
- Thus $(\theta, s(c_n/x)) \in \Gamma$ and she can safely play $(\theta, s(c_n/x))$ in $G(M, \phi)$.

- A winning strategy of Eloise in $\text{MEG}(\phi)$ can be conveniently given in the form of a so-called *consistency property*, which is just a set of finite sets of sentences satisfying conditions which essentially code a winning strategy for Eloise in $\text{MEG}(\phi)$.
- Sometimes it is more *convenient* to use a consistency property than Model Existence Game. But as far as strategies of Eloise are concerned, the two are one and the same thing.
- Consistency properties have been successfully used to prove interpolation and preservations results in model theory, especially infinitary model theory [Makkai, 1969].

- Suppose now **Abelard** has a winning strategy in $\text{MEG}(\phi)$.
- We can form a tree, a **Beth Tableau**, of all the positions when Abelard plays his winning strategy and we stop playing as soon as Abelard has won.
- Every branch of the tree is finite and ends in a position which includes a contradiction.
- We can then view this tree as a proof of $\neg\phi$. In this sense the Model Existence Game builds a **bridge between proof theory and model theory**.
- Strategies of Abelard direct us to **proof theory**, while strategies of Eloise direct us to **model theory**.

Apart from first order and infinitary logic, the Model Existence Game can be used in the proof theory and model theory of

- propositional and modal logic.
- logic with generalized quantifiers and higher order logic.
- weak models, which have to be transformed to real models by a model theoretic argument [Keisler, 1970].
- general models for higher order logics [Henkin, 1950].
- infinitary logic $L_{\kappa\lambda}$,
- chain models, rather than real models.

3rd game: EF (Ehrenfeucht-Fraïssé) game

- In the EF game we have a model (actually two models) but **no sentence**.
- The sentence should be **true in one** but **false in the other**.
- In the EF game strategies of Eloise track possibilities for elementary equivalence and the strategies of Abelard track possibilities for a separating sentence.
- [Fraïssé, 1954], [Ehrenfeucht, 1961]
- M and N are two structures for the same vocabulary L .

Definition

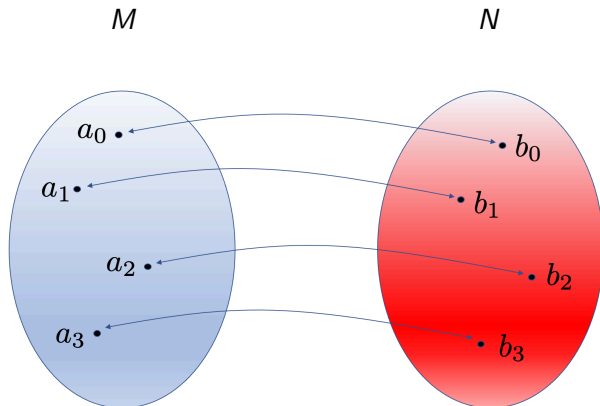
The game $\text{EF}_m(M, N)$ has two players Abelard and Eloise and m moves. A **position** is a set

$$s = \{(a_0, b_0), \dots, (a_{n-1}, b_{n-1})\} \quad (1)$$

of pairs of elements such that the a_i are from M and the b_i are from N , and $n \leq m$. In the beginning the position is \emptyset . The rules:

1. **Abelard** may choose some $a_n \in M$. Then **Eloise** chooses $b_n \in N$ and the next position is $s \cup \{(a_n, b_n)\}$.
2. **Abelard** may choose some $b_n \in N$. Then **Eloise** chooses $a_n \in M$ and the next position is $s \cup \{(a_n, b_n)\}$.

Abelard wins if during the game the position (2) is such that (a_0, \dots, a_{n-1}) satisfies some literal in M but (b_0, \dots, b_{n-1}) does not satisfy the corresponding literal in N .



- Intuitively, Eloise defends the proposition that M and N are **very similar**.
- Abelard **doubts** this similarity.
- If Eloise knows an **isomorphism** $f : M \rightarrow N$ she can respond by playing always so that $b_n = f(a_n)$.
- Two models of (any) size $\geq n$ in the empty vocabulary.
- Two finite linear orders of (any) size $\geq 2^n$.
- This game is **determined**.
- How **long** games can Eloise win in case $M \not\cong N$?
- A logician's version of isomorphism.
- A formula "is" this game.

- Before going into proofs, we generalize the game a little:
Dynamic EF-game.
- The length of the game is determined dynamically, move by move.
- There is an ordinal-**clock**.

The game $\text{EFD}_\beta(M, N)$ has two players Abelard and Eloise and an apriori unknown finite number of moves. A **position** is a set

$$s = \{(\alpha_0, a_0, b_0), \dots, (\alpha_{n-1}, a_{n-1}, b_{n-1})\} \quad (2)$$

of triples of elements such that $\beta > \alpha_0 > \alpha_1 > \dots$, the a_i are from M and the b_i are from N , and $n < \omega$. In the beginning the position is \emptyset . The rules:

1. **Abelard** chooses $\alpha_n < \alpha_{n-1}$, if he can ($\alpha_{-1} = \beta$).
2. **Abelard** may choose some $a_n \in M$. Then **Eloise** chooses $b_n \in N$ and the next position is $s \cup \{(\alpha_n, a_n, b_n)\}$.
3. **Abelard** may choose some $b_n \in N$. Then **Eloise** chooses $a_n \in M$ and the next position is $s \cup \{(\alpha_n, a_n, b_n)\}$.

Abelard wins if during the game the position (2) is such that (a_0, \dots, a_{n-1}) satisfies some literal in M but (b_0, \dots, b_{n-1}) does not satisfy the corresponding literal in N .

- Note that EFD_n is the same game as EF_n .
- How long **dynamic** games can Eloise win when $M \not\equiv N$?
- Interesting also for transfinite games, but then we use trees as clocks.

Quantifier rank in $L_{\infty\omega}$

$$\left\{ \begin{array}{ll} qr(\phi) & = 0, \text{ if } \phi \text{ atomic} \\ qr(\neg\phi) & = qr(\phi) \\ qr(\forall x\phi) & = qr(\phi) + 1 \\ qr(\exists x\phi) & = qr(\phi) + 1 \\ qr(\bigwedge_{i \in I} \phi_i) & = \sup\{qr(\phi_i) : i \in I\} \\ qr(\bigvee_{i \in I} \phi_i) & = \sup\{qr(\phi_i) : i \in I\} \end{array} \right.$$

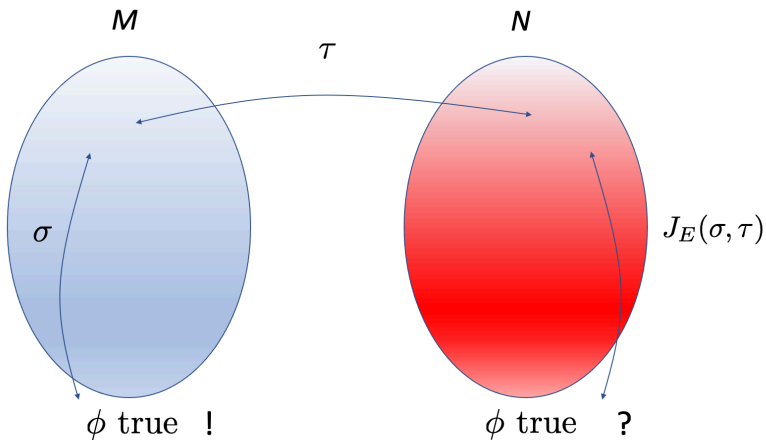
Strategy of Eloise \Rightarrow elementary equivalence

Transfer of truth

Theorem

Suppose ϕ is an $L_{\infty\omega}$ -sentence of quantifier rank $\leq \beta$. Every *strategy* τ of *Eloise* in $\text{EFD}_{\beta}(M, N)$, and every *strategy* σ of *Eloise* in $G(M, \phi)$ determine a *strategy* $J_E(\sigma, \tau)$ of *Eloise* in $G(N, \phi)$. If τ and σ are winning strategies, then so is $J_E(\sigma, \tau)$.

[Ehrenfeucht, 1961]



- We call a position of the game $\text{EFD}_\beta(M, N)$ a **τ -position** if it arises while Eloise is playing τ .
- We call a position of the game $G(M, \phi)$ a **σ -position**, if it arises while Eloise is playing σ .
- During the game $G(N, \phi)$ Abelard and Eloise choose some elements b_0, \dots, b_{n-1} of N . We submit these elements to the game $\text{EF}_m(M, N)$ and use τ to get to the other side, to model M , and obtain elements a_0, \dots, a_{n-1} of M . There we use these elements as corresponding moves of Abelard and Eloise in the game $G(M, \phi)$, Eloise playing her winning strategy σ . Thus we play two games in synchrony. This is how it happens:

- If the position of the game $G(N, \phi)$ is (ψ, s) , the **strategy** $J_E(\sigma, \tau)$ of Eloise is to play **simultaneously** $G(N, \phi)$, $\text{EFD}_\beta(M, N)$, and $G(M, \phi)$, as well as make sure that if

$$\pi = \{(\alpha_0, a_0, b_0), \dots, (\alpha_{n-1}, a_{n-1}, b_{n-1})\}$$

is the current τ -position in $\text{EFD}_\beta(M, N)$, then $qr(\psi) = \alpha_{n-1}$, and if we denote

$$s(x) = \pi(s'(x))$$

for all x in the domain of s , then (ψ, s') is the current σ -position in $G(M, \phi)$.

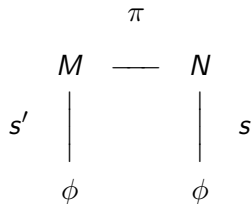


Figure: The strategy $J_E(\sigma, \tau)$,

Let us check that it is possible for Eloise to play this strategy: If the position in $G(N, \phi)$ is (ψ, s) where ψ is:

1. A **literal**, the game ends.
2. $\bigwedge_i \phi_i$. The opponent chooses i and the next position is (ψ_i, s) . Whichever (s)he chooses, we let the opponent make the respective move (ψ_i, s') in $G(M, \phi)$.
3. $\bigvee_i \phi_i$. The player with the token chooses i and the next position is (ψ_i, s) . Whichever (s)he chooses, we let the player with the token make the respective move (ψ_i, s') in $G(M, \phi)$.

4. $(\forall x\theta, s)$. The opponent chooses $b_n \in N$ and the next position is (θ, t) , $t = s(b_n/x)$. We continue the game $EF_m(M, N)$ from the τ -position $\{(a_0, b_0), \dots, (a_{n-1}, b_{n-1})\}$ letting **Abelard play $b_n \in N$** . The strategy τ tells Eloise to choose $a_n \in M$ so that

$$\pi' = \{(a_0, b_0), \dots, (a_n, b_n)\} \quad (3)$$

is again a τ -position. Now we continue the game $G(M, \phi)$ from position $(\forall x\theta, s')$ by letting the opponent play a_n . We reach the position (θ, t') , $t' = s'(a_n/x)$, which is still a σ -position, and we have $t'(y) = \pi'(t(y))$ for all y in the domain of t' .

6. If σ is a **winning** strategy and the game $G(N, \phi)$ ends in the position (ψ, s) , where ψ is a literal, then the one with the token wins because then (ψ, s') is a σ -position meaning that s' satisfies the literal ψ in M , and τ being a winning strategy this means that s satisfies the literal ψ in N .

- There is a tight connection between σ , τ and $J_E(\sigma, \tau)$. This is reflected in a connection between ϕ and $\text{EFD}_\beta(M, N)$.
- If the non-logical symbols of ϕ are in $L' \subset L$, then it suffices that τ is a strategy of Eloise in the game $\text{EFD}_\beta(M \upharpoonright L', N \upharpoonright L')$ between the reducts $M \upharpoonright L'$ and $N \upharpoonright L'$.
- If we know more about the syntax of ϕ , for example that it is **existential**, **universal** or **positive**, we can modify $\text{EFD}_\beta(M, N)$ accordingly by stipulating that Abelard only moves in M , only moves in N , or that he has to win by finding an atomic (rather than literal) relation which holds in M but not in N .
- Winning strategies of Eloise for the EF game are a standard method for showing that certain kinds of sentences **do not exist**. E.g. countability, well-foundedness, etc

Strategies of Abelard \approx separating sentences

From a separating sentence to a strategy of Abelard.

Theorem

Suppose M and N are models and β is an ordinal. Suppose ϕ is a sentence in $L_{\infty\omega}$ of quantifier rank $\leq \beta$.

1. There is a mapping J_A such that if τ is a strategy of *Eloise* in $G(M, \phi)$ and σ is a strategy of *Abelard* in $G(N, \phi)$, then $J_A(\tau, \sigma)$ is a strategy of *Abelard* in $\text{EFD}_\beta(M, N)$.
2. If τ and σ are winning strategies, then $J_A(\tau, \sigma)$ is a winning strategy.

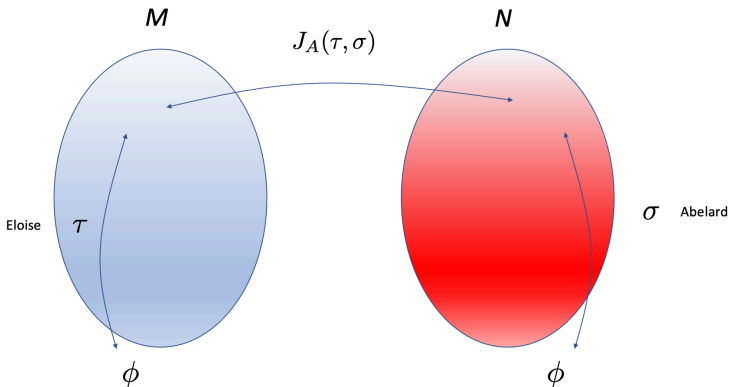
- We call a position of the game $G(M, \phi)$ a **τ -position** if it arises while Eloise is playing τ .
- We call a position of the game $G(N, \phi)$ a **σ -position**, if it arises while Abelard is playing σ .
- If the position of the game $\text{EFD}_\beta(M, N)$ is

$$\pi = \{(\alpha_0, a_0, b_0), \dots, (\alpha_{n-1}, a_{n-1}, b_{n-1})\},$$

the **strategy** $J_A(\sigma, \tau)$ of Abelard is to make sure that if the current τ -position in $G(M, \phi)$ is (ψ', s') and the current σ -position in $G(N, \phi)$ is (ψ, s) , then $\psi = \psi'$, $\alpha_{n-1} = qr(\psi)$, and

$$s(x) = \pi(s'(x))$$

for all x in the domain of s .



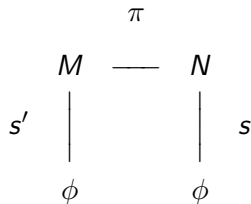


Figure: The strategy $J_A(\tau, \sigma)$,

Let us check that it is possible for Eloise to play this strategy:

1. If the position is (ψ, s) where ψ is a **literal** or $\alpha_{m-1} = 0$, the game ends.
2. If the position is (ψ, s) where ψ is $\bigwedge_i \phi_i$, then Abelard chooses i , and the next position is (ψ_i, s) . Whichever he chooses, we let Abelard make the respective move (ψ_i, s') in $G(M, \phi)$.
3. If the position is (ψ, s) where ψ is $\bigvee_i \phi_i$, then Eloise chooses i as follows. Since (ψ, s') is a σ -position, the strategy σ tells Eloise which of (ψ_i, s') to play in $G(M, \phi)$. Then Eloise plays the respective (ψ_i, s) in $G(N, \phi)$.

4. If the position is (ψ, s) is $(\forall x\theta, s)$, then Abelard chooses $\alpha_n < \alpha_{n-1}$ and $b_n \in N$ and the next position is (θ, t) , $t = s(b_n/x)$. We continue the game $\text{EFD}_\beta(M, N)$ from the τ -position $\{(\alpha_0, a_0, b_0), \dots, (\alpha_{n-1}, a_{n-1}, b_{n-1})\}$ letting Abelard play b_n . Then Eloise chooses some $a_n \in M$ and

$$\pi' = \{(\alpha_0, a_0, b_0), \dots, (\alpha_n, a_n, b_n)\} \quad (4)$$

is the next position. Now we continue the game $G(M, \phi)$ from position $(\forall x\theta, s')$ by letting Abelard play a_n . We reach the position (θ, t') , $t' = s'(a_n/x)$, which is still a σ -position, and we have $t'(y) = \pi'(t(y))$ for all y in the domain of t' .

5. The position is (ψ, s) is $(\exists x\theta, s)$. Now we continue the game $G(M, \phi)$ from position $(\exists x\theta, s')$ by letting Eloise play, according to σ , an element a_n and we reach a new σ -position (θ, t') , $t' = s'(a_n/x)$. We continue the game $\text{EFD}_\beta(M, N)$ from the τ -position $\{(a_0, b_0), \dots, (a_{n-1}, b_{n-1})\}$ letting Abelard play $\alpha_n = qr(\theta)$ and a_n . Then Eloise chooses some $b_n \in N$ and (4) is the next position. We reach the position (θ, t) , $t = s(b_n/x)$, and we have $t(y) = \pi(t'(y))$ for all y in the domain of t .

6. If τ and σ are **winning** strategies, and the game $G(N, \phi)$ ends in the position (ψ, s) , where ψ is atomic, then Abelard wins the EF-game because

Case 1: Eloise has the token in both games: Since (ψ, s') is a τ -position, s' satisfies ψ in M , and on the other hand, σ being a winning strategy of Abelard, s fails to satisfy ψ in N .

Case 2: Abelard has the token in both games: Since (ψ, s') is a τ -position, s' does not satisfy ψ in M , and on the other hand, σ being a winning strategy of Abelard, s satisfies ψ in N .

Strategies of Abelard and separating sentences

From a strategy of Abelard to a separating sentence.

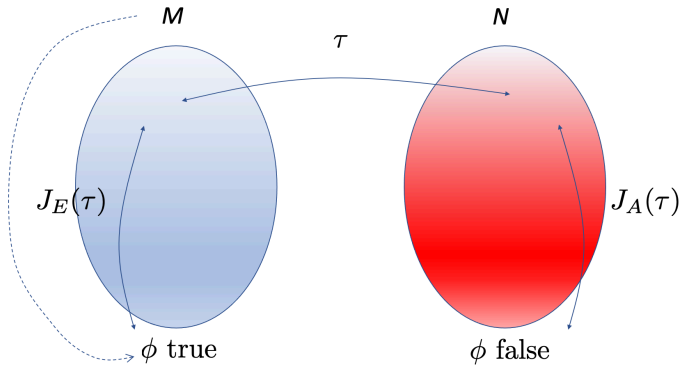
Theorem

Suppose M is a model and β is an ordinal. There is a **sentence** $\phi_M \in L_{\infty\omega}$ of quantifier rank $\leq \beta$ and a winning strategy $J_E(\tau)$ of **Eloise** in $G(M, \phi_M)$ such that the following hold: Suppose N is a model of the same vocabulary.

1. There is a mapping J_A such that if τ is a strategy of **Abelard** in $\text{EFD}_\beta(M, N)$, then $J_A(\tau)$ is a strategy of **Abelard** in $G(N, \phi_M)$.
2. If τ is a winning strategy, then $J_A(\tau)$ is a winning strategy.

Note: If L is finite and relational, and β is finite, the sentence ϕ_M is logically equivalent to a first order sentence of quantifier rank $\leq \beta$.

[Ehrenfeucht, 1961]



Suppose s is an assignment into M with domain $\{x_0, \dots, x_{n-1}\}$.
Let

$$\begin{aligned}\psi_{M,s}^{0,n} &= \bigwedge_i \psi_i \\ \psi_{M,s}^{\xi+1,n} &= (\forall x_n \bigvee_{a \in M} \psi_{M,s(a/x_n)}^{\xi,n+1}) \wedge (\bigwedge_{a \in M} \exists x_n \psi_{M,s(a/x_n)}^{\xi,n+1}) \\ \psi_{M,s}^{\nu,n} &= \bigwedge_{\xi < \nu} \psi_{M,s}^{\xi,n}\end{aligned}$$

where ψ_i lists all the literals in the variables x_0, \dots, x_{n-1} satisfied by s in M .

The sentence ϕ we need is $\psi_{M,\emptyset}^{\beta,0}$. Its quantifier-rank is clearly β .

- Clearly **Eloise** has a trivial strategy $J_E(\tau)$ in $G(M, \phi)$ (independently of τ), and this strategy is always a winning strategy.
- We now describe the strategy $J_A(\tau)$ of **Abelard** in $G(N, \phi)$.
- We call a position of the EF-game a **τ -position** if it arises while Abelard is playing τ .
- Suppose s is an assignment into M and s' an assignment into N , both with domain $\{x_0, \dots, x_{n-1}\}$. We use **$s \cdot s'$** to denote the set of pairs $(s(x_i), s'(x_i))$, $i = 0, \dots, n-1$. The **strategy** of Abelard is to play $G(N, \phi)$ in such a way that if the position at any point is $(\psi_{M,s}^{i, m-i}, s')$, then $s \cdot s'$ is a τ -position.

1. Suppose the position in $G(N, \phi)$ is $(\psi_{M,s}^{i,m-i}, s')$, $i > 0$, and the next move for Abelard in $\text{EFD}_\beta(M, N)$ according to τ is $a \in M$.
2. The strategy of Abelard is to choose the **latter** conjunct of $\psi_{M,s}^{i,m-i}$. Then Abelard chooses the element $a \in M$ in the big conjunction move.
3. Now it is the turn of Eloise in $G(N, \phi)$ to choose some $b \in N$ as the value of x_{m-i} and that will be the next move of Eloise in $\text{EFD}_\beta(M, N)$. The next position in $G(N, \phi)$ is

$$(\psi_{M,s(a/x_{m-i})}^{i-1,m-i+1}, s'(b/x_{m-i})). \quad (5)$$

4. The position $s(a/x_{m-i}) \cdot s'(b/x_{m-i})$ is still a τ -position in $\text{EFD}_\beta(M, N)$.

1. Suppose the position in $G(N, \phi)$ is $(\psi_{M,s}^{i,m-i}, s')$, $i > 0$, and the next move for Abelard in $\text{EF}_m(M, N)$ according to τ is $b \in N$.
2. The strategy of Abelard is to choose the **former** conjunct where he plays b as x_{m-i} . Now it is the turn of Eloise to choose some $a \in M$ in $G(N, \phi)$. The new position $s(a/x_{m-i}) \cdot s'(b/x_{m-i})$ is still a τ -position in $\text{EF}_m(M, N)$. The next position in $G(N, \phi)$ is (5).
3. Finally the position is $(\psi_{M,s}^{0,m}, s')$. Note that $s \cdot s'$ is still a τ -position in $\text{EF}_m(M, N)$. The game $\text{EF}_m(M, N)$ has now ended. Abelard now chooses the first (in some fixed enumeration) literal conjunct of the formula $\psi_{M,s}^{0,m}$ that is not satisfied by s' in N , if any exist, otherwise he simply chooses the first conjunct.

- Suppose now τ was a **winning** strategy of Abelard. Then at the end of the game $s \cdot s'$ is a winning position for Abelard and therefore he is indeed able to choose a conjunct of the formula $\psi_{M,s}^{0,m}$ that is not satisfied by s' in N . He has won $G(N, \phi)$. QED

- If τ is a winning strategy of Abelard **even** in the game $\text{EFD}_\beta(M \upharpoonright L', N \upharpoonright L')$ for some $L' \subset L$, then the separating sentence ϕ can be chosen so that its non-logical symbols are all in L' .
- If τ is such that Abelard plays only in M , we can make ϕ **existential**.
- If τ is such that Abelard plays only in N , we can make ϕ **universal**.
- If Abelard wins with τ even the harder game in which he has to win by finding an atomic (rather than literal) relation which holds in M but not in N , then we can take ϕ to be a **positive** sentence.

- Strategies in $\text{EFD}_\beta(M, N)$ also reflect structural properties of M and N .
- If we know a strategy of Eloise in $\text{EFD}_\beta(M_i, N_i)$ for $i \in I$, we can construct strategies of Eloise for EF games between **products** and **sums** of the models M_i and the respective products and sums of the models N_i . This can be extended to so-called κ -local functors [Feferman, 1972]. The situation is similar with tree-decompositions, e.g. [Grohe, 2007].
- EF games are known for **infinitary logics**, **generalized quantifiers**, and **higher order logics**.
- In modal logic the corresponding game is called the bisimulation game.

Team semantics

- A **team** is a set of assignments or a class of structures with assignments.
- EF game for **teams**: the players move and manipulate teams.
- EF game (on teams) for **propositional logic** [Hella and Väänänen, 2015].
- EF-game (on teams) for $L_{\omega_1\omega}$ [Väänänen and Wang, 2013].
- Adler and Immerman, 2001, for CTL and for reachability logic.

From quantifier-rank to formula-length: Hella-V. 2010

- Let \mathcal{A} and \mathcal{B} be classes of structures (\mathcal{M}, s) , s an assignment, of the same relational vocabulary, with $\text{Dom}(\mathcal{A}) = \text{Dom}(\mathcal{B})^2$, and let w be a positive integer. called the *rank* of the game.
- The game $\text{EF}_w(\mathcal{A}, \mathcal{B})$ has two players, Abelard and Eloise.
- In the beginning the position is $(w, \mathcal{A}, \mathcal{B})$.
- Suppose the position after m moves is $(w_m, \mathcal{A}_m, \mathcal{B}_m)$, where $\text{Dom}(\mathcal{A}_m) = \text{Dom}(\mathcal{B}_m)$. Next:

² $\text{Dom}(\mathcal{A})$ is the common domain of the assignments, e.g. $\{x_1, \dots, x_n\}$.

Left splitting move: Abelard first chooses numbers u and v such that $1 \leq u, v < w$ and $u + v = w_m$. Then Abelard represents \mathcal{A}_m as a union $\mathcal{C} \cup \mathcal{D}$. Now the game continues from the position $(u, \mathcal{C}, \mathcal{B}_m)$ or from the position $(v, \mathcal{D}, \mathcal{B}_m)$, and Eloise can choose which.

Right splitting move: Abelard first chooses numbers u and v such that $1 \leq u, v < w$ and $u + v = w_m$. Then Abelard represents \mathcal{B}_m as a union $\mathcal{C} \cup \mathcal{D}$. Now the game continues from the position $(u, \mathcal{A}_m, \mathcal{C})$ or from the position $(v, \mathcal{A}_m, \mathcal{D})$, and Eloise can choose which.

Left supplementing move: Abelard chooses a natural number j and a choice function F for \mathcal{A}_m . Then the game continues from the position $(w_m - 1, \mathcal{A}_m(F/j), \mathcal{B}_m(\star/j))$.

Right supplementing move: Abelard chooses a natural number j and a choice function F for \mathcal{B}_m . Then the game continues from the position $(w_m - 1, \mathcal{A}_m(\star/j), \mathcal{B}_m(F/j))$.

The game ends in a position $(w_m, \mathcal{A}_m, \mathcal{B}_m)$ and **Abelard** wins if there is an atomic or a negated atomic formula ϕ that separates \mathcal{A} and \mathcal{B} .

Theorem

Suppose $(\mathcal{A}, \mathcal{B})$ is a pair of classes of models of the same vocabulary. Then the following conditions are equivalent:

- (1) ***Abelard** has a winning strategy in the game $EF_n(\mathcal{A}, \mathcal{B})$.*
- (2) *There is a predicate logic **sentence** ϕ of size $\leq n$ which is true in every model in \mathcal{A} and in no model in \mathcal{B} which we denote*

$$(\mathcal{A}, \mathcal{B}) \models \phi.$$

Properties of $(\mathcal{A}, \mathcal{B}) \models \phi$

$$(\mathcal{A}, \mathcal{B}) \models \neg\phi \quad \text{iff} \quad (\mathcal{B}, \mathcal{A}) \models \phi$$

$$(\mathcal{A}, \mathcal{B}) \models \phi \vee \psi \quad \text{iff} \quad \text{there are } \mathcal{A}_0 \text{ and } \mathcal{A}_1 \text{ such that}$$

$$\mathcal{A} = \mathcal{A}_0 \cup \mathcal{A}_1, (\mathcal{A}_0, \mathcal{B}) \models \phi, (\mathcal{A}_1, \mathcal{B}) \models \psi$$

$$(\mathcal{A}, \mathcal{B}) \models \phi \wedge \psi \quad \text{iff} \quad \text{there are } \mathcal{B}_0 \text{ and } \mathcal{B}_1 \text{ such that}$$

$$\mathcal{B} = \mathcal{B}_0 \cup \mathcal{B}_1, (\mathcal{A}, \mathcal{B}_0) \models \phi, (\mathcal{A}, \mathcal{B}_1) \models \psi$$

$$(\mathcal{A}, \mathcal{B}) \models \exists x_n \phi(x_n) \quad \text{iff} \quad \text{there is } F \text{ with domain } \mathcal{A} \text{ such that}$$

$$(\mathcal{A}(F/n), \mathcal{B}(\star/n)) \models \phi$$

$$(\mathcal{A}, \mathcal{B}) \models \forall x_n \phi(x_n) \quad \text{iff} \quad \text{there is } F \text{ with domain } \mathcal{B} \text{ such that}$$

$$(\mathcal{A}(\star/n), \mathcal{B}(F/n)) \models \phi$$

Some simple applications of the team game

1. If ϕ is a propositional formula expressing the **parity** of strings $s \in \{0, 1\}^n$, then the size of ϕ is at least n^2 . (Wegener 1987)
2. If ϕ is an existential first order sentence expressing the property that **all Boolean combinations of n unary predicates are non-empty**, then the size of ϕ is at least $(n + 1)2^n$.
3. If ϕ is an existential first order sentence expressing the property that **the length of a linear order is at least n** , then the size of ϕ is at least $2n - 1$.
4. There is no formula shorter than 2^{n-1} that defines “In X , the truth values of $p_{k_0}, \dots, p_{k_{n-2}}$ **completely determine** the truth value of $p_{k_{n-1}}$ ”. Therefore it makes sense to adopt the dependence atom $=(p_{k_0}, \dots, p_{k_{n-2}}, p_{k_{n-1}})$.

These are optimal values.

Summary

- The Evaluation Game, the Model Existence Game and the EF game go so deep into the essential concepts of logic such as truth, consistency, and separating models by sentences, that a lot of research in logic can be represented in terms of these games. (But this alone does not bring anything new.)
- The translations of the strategies between the games suggest a coherent uniform approach to syntax and semantics and at the same time a uniform approach to model theory and proof theory.
- The Evaluation Game and the EF game are oblivious to whether the models are finite or infinite, which gives them a useful role in computer science logic.
- Despite the vast literature on each of the three games separately, there seems to be a lot of potential for the study of their interaction as a manifestation of the **Strategic Balance of Logic**.

Thank you!



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