## Lambek-Grishin Calculus:

# Focusing, Display and Full Polarization 

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## Identity of proofs

Identifying or telling apart proofs has far-reaching consequences.

- Philosophy and math: when two proofs correspond to the same argument?
$\square$ Computer science: when two algorithms correspond to the same program?
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${ }^{3}$ criterion for defining identity of sequent calculi proofs.

What is the mathematical underpinning of focalization?
Looking for:

- (uniform and modular structural) proof theory and
- (algebraic and categorical) semantics.


## Our contributions

Lambek-Grishin logic:

- fully polarized algebraic semantics $\mathbb{F P}$. $\mathbb{L G}$
$\square$ focused display calculus fD.LG:

■ canonical cut-elimination, strong focalization, complete w.r.t. $\mathbb{F P}$.LG

- also complete w.r.t. LG-algebras
$\leadsto$ semantic proof of completeness of focusing
- effective translation between fD.LG- and fLG-proofs [MM12]
$\leadsto$ operational semantics
General theory:
■ heterogenous display calculi
■ fully polarized algebras
- analytic-inductive extensions


## Basic Lambek-Grishin algebra

- Poset with 6 operations [Moo09]:

$$
\begin{align*}
& A \leq C / B \quad \text { iff } \quad A \otimes B \leq C \quad \text { iff } \quad B \leq A \backslash C \\
& C \oslash B \leq A \quad \text { iff } \quad C \leq A \oplus B \quad \text { iff } \quad A \otimes C \leq B  \tag{1}\\
& \frac{\text { John }}{n p} \otimes \frac{\text { sleeps }}{n p \backslash s} \leq \frac{\text { is a sentence }}{s}
\end{align*}
$$

## Multi-type Proper Display Calculi $1 / 2$

Display calculi are a natural generalization of Gentzen's calculi [BJ82]
■ Display property
$\leadsto$ semantically justified by adjunction/residuation

$$
\begin{aligned}
& \text { If } \varepsilon_{f, i}=1 \text { and } \varepsilon_{g, j}=1: \quad \hat{f}_{\rightarrow}+\breve{f}_{i}^{\sharp} \frac{\hat{f}(\bar{\Sigma})[\Gamma]_{i} \vdash \Delta}{\Gamma \vdash \check{f}_{i}^{\sharp}(\bar{\Sigma})[\Delta]_{i}}
\end{aligned}
$$

■ Canonical cut elimination;

■ Properness: all rules closed under uniform substitution [Wan98];

■ Multi-type: syntactic types $\leadsto \rightarrow$ subalgebras $\leadsto \rightarrow$ uniform substitution within each type [FGK $\left.{ }^{+} 16\right]$.

## Definition

A proper DC verifies each of the following conditions:
it structures can disappear, formulas are forever;
[2 tree-traceable formula-occurrences, via suitably defined congruence relation (same shape, position, non-proliferation)
s principal = displayed
4 rules are closed under uniform substitution of congruent parameters within each type (Properness!);
E reduction strategy exists when cut formulas are principal.
ब type-uniformity of derivable sequents;
r strongly uniform cuts in each/some type(s).

## Theorem

Cut elimination and subformula property hold for any proper m.DC.

## Basic Lambek-Grishin logic

D.LG consists of the following rules (we consider only the Lambek fragment for brevity).

## Axioms and cuts:

$$
\overline{p \vdash p}^{\text {ld }} \frac{X \vdash A \quad A \vdash Y}{X \vdash Y} \mathrm{cut}
$$

Logical rules (i.e. translation vs tonicity rules, cfr. asynchronous vs synchronous [And01]):

$$
\begin{aligned}
& \otimes_{\llcorner } \frac{A \hat{\otimes} B \vdash X}{A \otimes B \vdash X} \quad \frac{X \vdash A \quad Y \vdash B}{X \hat{\otimes} Y \vdash A \otimes B} \otimes_{R} \\
& \iota_{\llcorner } \frac{X \vdash A \quad B \vdash Y}{A \backslash B \vdash X \backslash Y} \quad \frac{X \vdash A\lceil B}{X \vdash A \backslash B} \backslash_{R} \quad \iota \frac{B \vdash Y \quad X \vdash A}{B / A+Y / X} \frac{X \vdash B / A}{X \vdash B / A} /_{R}
\end{aligned}
$$

## Display postulates:

We may expand the calculus with so-called Structural rules, e.g.:

$$
\frac{(X \hat{\otimes} Y) \hat{\otimes} Z \vdash W}{X \hat{\otimes}(Y \hat{\otimes} Z) \vdash W}
$$

## Everybody needs somebody


$\frac{\text { Everybody }}{s /(n p \backslash s)} \otimes \frac{\text { needs }}{(((n p \backslash s) /((s / n p) \backslash s))} \otimes \frac{\text { somebody }}{(s / n p) \backslash s)} \stackrel{\text { is }}{\vdash} \frac{\text { a sentence }}{s}$

7 sequent derivations, but only 3 ND (or proof net) derivations in normal form! Moving to a focused sequent system (fLG or fD.LG) again 3 derivations!

Two derivations use associativity and correspond to the following readings:
■ $\forall-\exists$ reading: Everybody > somebody > needs
$\square \exists-\forall$ reading: Somebody $>$ everybody $>$ needs

## Focalization

The key idea relies on the following distinction.

- A focused phase is a proof-section where a formula is decomposed "as much as possible" only by means of tonicity logical rules.
- A neutral phase is a non-focused phase, i.e. a proof section built by translation logical rules (applied greedily) or structural rules.

A strongly focalized proof exhibits a strict alternation between focused and neutral phases:

neutral phase focused phase

## Definition

A sequent proof $\pi$ is strongly focalized if cut-free and, for every formula $A$ occurring in $\pi$, every PIA subtree of $A$ is constructed by a proof-section of $\pi$ containing only tonicity rules.

## Focalization via polarization

Two focalized phases
■ Positive phase: only tonicity rules for positive connectives are applied;
■ Negative phase: only tonicity rules for negative connectives are applied.

How to categorize a connective as "positive" or "negative"?

■ Positive formulas: the main connective is a left-adjoint/residual $(\varnothing, \otimes, \otimes)$;
$\square$ Negative formulas: the main connective is a right-adjoint/residual $(/, \oplus, \backslash)$.

The key idea of polarization "naturally" calls for a type distinction:
multi-type calculi seem good candidates, but we need a further generalization!

## A step back: focalization via "implicit" polarization

State of the art: fLG by Moortgat and Moot [MM12]
■ Every proof is strongly focalized

- Focus implemented by a meta-linguistical marker $A$
$\square$ Restrictions on the applicability of rules
If $A$ is positive:

$$
\begin{array}{ccc}
\text { Axiom } & \text { Focusing } & \text { Defocusing } \\
\frac{A+\sqrt{A}}{A+\Delta} & \leftharpoondown \frac{X+\boxed{A}}{X+\Delta} & \frac{X+A}{\boxed{A}+\triangle}
\end{array}
$$

If $A$ is negative:

$$
\begin{array}{lll}
\text { Co-axiom } & \text { Focusing } & \text { Defocusing } \\
\bar{A}+A & \frac{X+A}{X+\sqrt{A}} \rightharpoondown & \leftharpoonup \frac{A+X}{A+X}
\end{array}
$$

Tonicity rules have auxiliary and principal formulas in focus.

## $\forall-\exists$ reading: fLG

Bias assignment: $n p::$ positive, $s::$ negative.


## ق-V reading: fLG

Bias assignment: $n p::$ positive, $s::$ negative.


## Focalization via "explicit" polarization

In proof-theory, shift operators have been considered:
$\square$ if $A$ is negative, then $\downarrow A$ is positive;
$\square$ if $A$ is positive, then $\uparrow A$ is negative.
$\leadsto$ but their status as operators is obscure.

In algebraic/categorical polarized semantics:

- $\uparrow \uparrow \downarrow$
- $\uparrow \downarrow \uparrow \varphi=\uparrow \varphi$
$\square \downarrow \uparrow \downarrow \varphi=\downarrow \varphi$
$\square \downarrow \uparrow \varphi=\varphi$
$\square \uparrow \downarrow \varphi=\varphi$.

Problem: the focusing policy could be destroyed.
$\leadsto$ the usual solution is to consider only sequents where $\uparrow$ (resp. $\downarrow$ ) are not nested.
Our solution: 4 types:

- positive and negative formulas belong to different sorts;
- pure and shifted formulas belong to different sorts.


## Weakening relations

W.R. are the order-theoretic equivalents of profunctors (aka distributors or bimodules) [Ben73]. W.R. are generalizations of partial orders: take $\mathcal{A}=\mathcal{B}$ and $\leq_{\mathcal{A}}=\leq_{\mathcal{B}}$.

## Definition

A weakening relation is a relation $\leqslant \subseteq \mathcal{A} \times \mathcal{B}$ on two partially ordered set $\left(\mathcal{A}, \leq_{\mathcal{A}}\right)$ and ( $\mathcal{B}, \leq_{\mathcal{B}}$ ) that is compatible with the orders $\leq_{\mathcal{A}}$ and $\leq_{\mathcal{B}}$ in the following sense

$$
\begin{array}{ccc}
A^{\prime} \leq_{\mathcal{A}} A & A \leqslant B & B \leq_{\mathcal{B}} B^{\prime} \\
\hline A^{\prime} \leqslant B^{\prime}
\end{array}
$$

## Definition

Given two w.r. $\leqslant \mathcal{A} \subseteq \mathcal{A} \times \mathcal{H}^{\prime}$ and $\leqslant \mathcal{B} \subseteq \mathcal{B} \times \mathcal{B}^{\prime}$, we say that the order-preserving functions $L: \mathcal{A} \rightarrow \mathcal{B}$ and $R: \mathcal{B}^{\prime} \rightarrow \mathcal{A}^{\prime}$ form a heterogeneous adjoint pair $L \vdash_{\leqslant \mathcal{A}}^{\leqslant \mathcal{B}} R$ if for every $A \in \mathcal{A}$ and $B^{\prime} \in \mathcal{B}^{\prime}$,

$$
L(A) \leqslant_{\mathcal{B}} B^{\prime} \text { iff } A \leqslant \mathcal{A} R\left(B^{\prime}\right)
$$



If $\mathcal{A}^{\prime}=\mathcal{A}, \leqslant_{\mathcal{A}}=\leq_{\mathcal{A}}, \mathcal{B}^{\prime}=\mathcal{B}$ and $\leqslant_{\mathcal{B}}=\leq_{\mathcal{B}}$, we recover the usual definition of adjunction. Heterogeneous adjunctions also appear in the theory of Chu spaces.

## Full polarization

$$
\begin{aligned}
& . \leq^{+} \longrightarrow \dot{\mathbb{P}} \xrightarrow{\leq} \mid \mathbb{N} \underset{\sim}{-} \\
& \text { - } \underset{\leq}{+}
\end{aligned}
$$

## Fully polarized LG-algebras $\mathbb{F P} . L \mathbb{G}$

Operators and their residuals (we consider the Lambek fragment for brevity):

$$
\begin{gather*}
1: \stackrel{N}{N} \times \mathscr{P}^{\partial} \rightarrow \mathbb{N}, \quad \otimes: \stackrel{P}{P} \times \mathscr{P} \rightarrow \mathbb{P}, \quad \backslash: \mathbb{P}^{\partial} \times \dot{\mathbb{N}} \rightarrow \mathbb{N} . \\
\dot{P} \leq \dot{N} / \dot{Q} \quad \text { iff } \quad \dot{P} \otimes \dot{Q} \leq \dot{N} \quad \text { iff } \quad \dot{Q} \leq \dot{P} \backslash \dot{N} . \tag{2}
\end{gather*}
$$

Shifts:


For all $P \in \mathbb{P}$ and $N \in \mathbb{N}, \preceq^{+} \subseteq \mathbb{P} \times \dot{\mathbb{P}}, \leq \subseteq \mathbb{P} \times \mathbb{N}$ and $\leq^{-} \subseteq \dot{\mathbb{N}} \times \mathbb{N}$ are s.t.:

$$
\begin{equation*}
\uparrow P \leq \leq^{-} N \text { iff } P \leq N \text { iff } P \leq \cdot^{+} \downarrow N \tag{4}
\end{equation*}
$$

i.e. $\leq$ is the weakening relation represented by the heterogeneous adjunction $\uparrow$ t-

Collage posets: $\left(\mathbb{P}, \stackrel{\circ}{\leq}^{+}\right):=\left(\mathbb{P} \sqcup \dot{P}, \leq^{+} \sqcup \leq r^{+} \sqcup \cdot \leq^{+}\right),\left(\mathbb{N}^{\circ}, \stackrel{\circ}{-}^{-}\right):=\left(\mathbb{N} \sqcup \dot{\mathbb{N}}, \leq^{-} \sqcup \cdot \leq^{-} \sqcup \cdot \leq^{-}\right)$.
Collage weakening relation: $\mathfrak{\circ}:=\leq \sqcup \leq \sqcup \leq \subseteq \mathbb{P} \times \mathbb{N}$.

## (Heterogeneous multi-type proper) focused display calculus fD.LG

Notation: $\stackrel{\circ}{P} \in\{P, \dot{P}\}$, resp. $\stackrel{\AA}{N} \in\{N, \dot{N}\}$.

$$
\begin{aligned}
& N:=n|(\stackrel{N}{N} \oplus \stackrel{N}{N})| \dot{P} \backslash \stackrel{N}{N} \mid \grave{N} / P \quad \text { Pure negative formulas }(\hat{1} \dot{X}) \\
& \dot{P}:=\downarrow N \\
& \dot{N}:=\uparrow P \\
& \text { Shifted positive formulas } \\
& \text { Shifted negative formulas }
\end{aligned}
$$

Well-formed sequents (sequents in grey cells are not derivable):

| Positive sequents | $X \vdash^{+} Y$ | $\dot{X} r^{+} Y$ | $X+r^{+} \dot{Y}$ | $\dot{X} \cdot+{ }^{+} \dot{Y}$ |
| ---: | :---: | :---: | :---: | :---: |
| Negative sequents | $\Delta \vdash^{-} \Gamma$ | $\dot{\Delta} \cdot r^{-} \Gamma$ | $\Delta+r^{-} \dot{\Gamma}$ | $\dot{\Delta} \cdot r^{-} \dot{\Gamma}$ |
| Neutral sequents | $X+\Delta$ | $\dot{X}+\Delta$ | $X+\dot{\Delta}$ | $\dot{X}+\cdot \dot{\Delta}$ |

Each consequence relation is interpreted by a W.R. as follows:

| $t$ | $+^{+}$ | +.+ | ... ${ }^{+}$ | $\vdash^{-}$ | ${ }^{+}$ | - | • | - | F. | ${\stackrel{1}{ }{ }^{+}}^{+}$ | $\stackrel{\circ}{-}^{-}$ | $\stackrel{\circ}{ }$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $t^{\mathbb{F P} . L \mathbb{C}}$ | $\leq^{+}$ | .+ | ..$^{+}$ | $\leq-$ | $\cdot{ }^{-}$ | $\cdot{ }^{-} \cdot$ | $\leq$ | . | 〕. | $\stackrel{5}{+}^{+}$ | $\grave{\circ}^{-}$ | ¢ |

## Axioms and cuts

$$
\begin{aligned}
& {\bar{p} \vdash^{+} p}^{p-\mathrm{ld}} \quad n \quad n \cdot \mathrm{ld} \overline{n \vdash^{-} n}
\end{aligned}
$$

## Logical rules

## Structural rules

## Display postulates:

Structural rules for shifts:

## Phases and phase transitions 1/2

Positive sequents


Negative sequents

Phases and phase transitions 2/2


## $\forall-\exists$ reading: fD.LG

Bias assignment: np :: positive, s :: negative.


## Conclusions

## What we did.

Lambek-Grishin logic:

- focused display calculus fD.LG
$\leadsto \rightarrow$ canonical cut-elimination and strong focalization
- fully polarized algebraic semantics $\mathbb{F P}$. $\mathbb{G}$
$\leadsto$ semantic proof of completeness of focusing


## Future work.

We expect that the present approach:
(1) extends to every (first-order) displayable logic;
(2) can be lifted to categories (profunctors instead of w.r.).
(2.a) So far, we defined a categorical Lindenbaum-Tarsky construction producing the free residuated category generated by D.L;
(2.b) we defined an algorithm transfoming sequent derivations $\leadsto \leadsto$ diagrams $\leadsto \leadsto$ natural deduction derivations.

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