## Lambek-Grishin Calculus:

# Focusing, Display and Full Polarization

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Identifying or telling apart proofs has far-reaching consequences.

- Philosophy and math: when two proofs correspond to the same argument?
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Focused sequent calculi [And92, And01, Mil04]: syntactic restrictions on rules:

- the proof search space is reduced retaining completeness;
- every cut-free proof comes in a special normal form;
- criterion for defining identity of sequent calculi proofs.

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What is the mathematical underpinning of focalization?

Looking for:

- (uniform and modular structural) proof theory and
- (algebraic and categorical) semantics.

## Lambek-Grishin logic:

- fully polarized algebraic semantics FP.LG
- focused display calculus fD.LG:
- canonical cut-elimination, strong focalization, complete w.r.t. FP.LG
- also complete w.r.t. LG-algebras
  - ↔ semantic proof of completeness of focusing
- effective translation between fD.LG- and fLG-proofs [MM12]
  •• operational semantics
- General theory:
  - heterogenous display calculi
  - fully polarized algebras
  - analytic-inductive extensions

Poset with 6 operations [Moo09]:

 $A \le C / B \quad \text{iff} \quad A \otimes B \le C \quad \text{iff} \quad B \le A \setminus C$  $C \oslash B \le A \quad \text{iff} \quad C \le A \oplus B \quad \text{iff} \quad A \otimes C \le B$ 

John		sleeps	is	a sentence
np	$\otimes$	np∖s		S

(1)

Display calculi are a natural generalization of Gentzen's calculi [BJ82]

### Display property

→→ semantically justified by adjunction/residuation

If 
$$\varepsilon_{f,i} = 1$$
 and  $\varepsilon_{g,j} = 1$ :  
 $\hat{r}_{+\tilde{i}_{i}^{\sharp}} = \frac{\hat{f}(\overline{\Sigma})[\Gamma]_{i} + \Delta}{\Gamma + \check{f}_{i}^{\sharp}(\overline{\Sigma})[\Delta]_{i}} = \frac{\Gamma + \check{g}(\overline{\Sigma})[\Delta]_{j}}{\hat{g}_{j}^{\flat}(\overline{\Sigma})[\Gamma]_{j} + \Delta} \hat{g}_{j}^{\flat} \cdot \check{g}$ 
If  $\varepsilon_{f,i} = \partial$  and  $\varepsilon_{g,j} = \partial$ :  
 $\hat{f}(\overline{\Sigma})[\Delta]_{i} + \Delta' = \frac{\Gamma' + \check{g}(\overline{\Sigma})[\Gamma]_{j}}{\hat{f}_{i}^{\sharp}(\overline{\Sigma})[\Delta']_{i} + \Delta} = \frac{\Gamma' + \check{g}(\overline{\Sigma})[\Gamma]_{j}}{\Gamma + \check{g}_{j}^{\flat}(\overline{\Sigma})[\Gamma']_{j}} (\check{g},\check{g}_{j}^{\flat})$ 

- Canonical cut elimination;
- Properness: all rules closed under uniform substitution [Wan98];

Multi-type: syntactic types 

 subalgebras
 uniform substitution within each type [FGK<sup>+</sup>16].

#### Definition

A proper DC verifies each of the following conditions:

- structures can disappear, formulas are forever;
- tree-traceable formula-occurrences, via suitably defined congruence relation (same shape, position, non-proliferation)
- principal = displayed
- rules are closed under uniform substitution of congruent parameters within each type (Properness!);
- **reduction strategy** exists when cut formulas are principal.
- type-uniformity of derivable sequents;
- strongly uniform cuts in each/some type(s).

Theorem (Canonical!)

Cut elimination and subformula property hold for any proper m.DC.

#### Basic Lambek-Grishin logic

**D.LG** consists of the following rules (we consider only the Lambek fragment for brevity). **Axioms and cuts:** 

$$p \vdash p$$
 Id  $X \vdash A \land A \vdash Y$   
 $X \vdash Y$  Cut

Logical rules (i.e. translation vs tonicity rules, cfr. asynchronous vs synchronous [And01]):

$$\overset{A \hat{\otimes} B \vdash X}{A \otimes B \vdash X} \quad \frac{X \vdash A \quad Y \vdash B}{X \hat{\otimes} Y \vdash A \otimes B} \otimes_{R}$$

Display postulates:

$$\hat{\otimes} + \check{\setminus} \frac{Y \vdash X \check{\setminus} Z}{X \hat{\otimes} Y \vdash Z}$$
$$\underbrace{X \hat{\otimes} Y \vdash Z}{X \vdash Z \check{\setminus} Y}$$

We may expand the calculus with so-called Structural rules, e.g.:

$$\frac{(X \otimes Y) \otimes Z \vdash W}{X \otimes (Y \otimes Z) \vdash W} a, a^{-1}$$

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#### Everybody needs somebody



$$\frac{\mathsf{Everybody}}{s \,/\,(np \,\backslash\, s)} \,\,\otimes\,\, \frac{\mathsf{needs}}{(((np \,\backslash\, s) \,/\,((s \,/\, np) \,\backslash\, s))} \,\,\otimes\,\, \frac{\mathsf{somebody}}{(s \,/\, np) \,\backslash\, s)} \,\,\stackrel{\text{is}}{\mapsto} \,\, \frac{\mathsf{a}\,\,\mathsf{sentence}}{s}$$

7 sequent derivations, but only 3 ND (or proof net) derivations in normal form! Moving to a focused sequent system (**fLG** or **fD.LG**) again 3 derivations!

Two derivations use associativity and correspond to the following readings:

- ∀-∃ reading: Everybody > somebody > needs
- ∃-∀ reading: Somebody > everybody > needs

The key idea relies on the following distinction.

- A focused phase is a proof-section where a formula is decomposed "as much as possible" only by means of tonicity logical rules.
- A neutral phase is a non-focused phase, i.e. a proof section built by translation logical rules (applied greedily) or structural rules.

A strongly focalized proof exhibits a strict alternation between focused and neutral phases:



#### Definition

A sequent proof  $\pi$  is strongly focalized if cut-free and, for every formula A occurring in  $\pi$ , every **PIA subtree** of A is constructed by a proof-section of  $\pi$  containing only tonicity rules.

Two focalized phases

- **Positive phase**: only tonicity rules for positive connectives are applied;
- **Negative phase**: only tonicity rules for negative connectives are applied.

How to categorize a connective as "positive" or "negative"?

Positive formulas: the main connective is a left-adjoint/residual (⊘, ⊗, ⊙);
 Negative formulas: the main connective is a right-adjoint/residual ( / , ⊕, \ ).

The key idea of polarization "naturally" calls for a type distinction: multi-type calculi seem good candidates, but we need a further generalization!

# A step back: focalization via "implicit" polarization

State of the art: fLG by Moortgat and Moot [MM12]

- Every proof is strongly focalized
- Focus implemented by a meta-linguistical marker A
- Restrictions on the applicability of rules

If A is positive:



Tonicity rules have auxiliary and principal formulas in focus.

Bias assignment: *np* :: positive, *s* :: negative.



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### Focalization via "explicit" polarization

In proof-theory, shift operators have been considered:

- If A is negative, then  $\downarrow A$  is positive;
- If A is positive, then  $\uparrow A$  is negative.
- → w but their status as operators is obscure.

In algebraic/categorical polarized semantics:

 $\uparrow \dashv \downarrow$  $\uparrow \downarrow \uparrow \varphi = \uparrow \varphi$  $\downarrow \uparrow \downarrow \varphi = \downarrow \varphi$  $\downarrow \uparrow \downarrow \varphi = \varphi$ 

 $\blacksquare \uparrow \downarrow \varphi \ = \ \varphi.$ 

Problem: the focusing policy could be destroyed.

 $\rightsquigarrow$  the usual solution is to consider only sequents where  $\uparrow$  (resp.  $\downarrow$ ) are not nested.

Our solution: 4 types:

- **positive** and **negative** formulas belong to different sorts;
- **pure** and **shifted** formulas belong to different sorts.

### Weakening relations

W.R. are the order-theoretic equivalents of profunctors (aka distributors or bimodules) [Ben73].

W.R. are generalizations of partial orders: take  $\mathcal{A} = \mathcal{B}$  and  $\leq_{\mathcal{A}} = \leq_{\mathcal{B}}$ .

#### Definition

A weakening relation is a relation  $\leq \subseteq \mathcal{A} \times \mathcal{B}$  on two partially ordered set  $(\mathcal{A}, \leq_{\mathcal{A}})$  and  $(\mathcal{B}, \leq_{\mathcal{B}})$  that is compatible with the orders  $\leq_{\mathcal{A}}$  and  $\leq_{\mathcal{B}}$  in the following sense

$$\begin{array}{c|c} A' \leq_{\mathcal{R}} A & A \leq B & B \leq_{\mathcal{B}} B' \\ \hline & A' \leq B' \end{array}$$

#### Definition

Given two w.r.  $\leq_{\mathcal{R}} \subseteq \mathcal{A} \times \mathcal{A}'$  and  $\leq_{\mathcal{B}} \subseteq \mathcal{B} \times \mathcal{B}'$ , we say that the order-preserving functions  $L : \mathcal{A} \to \mathcal{B}$ and  $R : \mathcal{B}' \to \mathcal{A}'$  form a **heterogeneous adjoint pair**  $L \stackrel{\leq_{\mathcal{B}}}{\underset{=}{\to}} R$  if for every  $A \in \mathcal{A}$  and  $B' \in \mathcal{B}'$ ,

$$L(A) \leq_{\mathcal{B}} B' \text{ iff } A \leq_{\mathcal{A}} R(B') \qquad \qquad \begin{array}{c} \mathcal{H}' & \sqcap & \mathcal{H}' \\ \leq_{\mathcal{A}} \uparrow & \intercal & \uparrow \\ \mathcal{H} & & \downarrow \\ \mathcal{H} & & \mathcal{H} \\ \mathcal{$$

If  $\mathcal{A}' = \mathcal{A}, \, \leq_{\mathcal{A}} = \leq_{\mathcal{A}}, \, \mathcal{B}' = \mathcal{B}$  and  $\leq_{\mathcal{B}} = \leq_{\mathcal{B}}$ , we recover the usual definition of adjunction. Heterogeneous adjunctions also appear in the theory of Chu spaces.



#### Fully polarized LG-algebras FP.LG

Operators and their residuals (we consider the Lambek fragment for brevity):

$$/: \mathring{\mathbb{N}} \times \mathring{\mathbb{P}}^{\partial} \to \mathbb{N}, \quad \otimes: \mathring{\mathbb{P}} \times \mathring{\mathbb{P}} \to \mathbb{P}, \quad \backslash: \mathring{\mathbb{P}}^{\partial} \times \mathring{\mathbb{N}} \to \mathbb{N}.$$

$$\overset{\mathring{P}}{\leq} \mathring{\mathbb{N}} / \mathring{Q} \quad \text{iff} \quad \mathring{P} \otimes \mathring{Q} \stackrel{\scriptscriptstyle{\leq}}{\leq} \mathring{\mathbb{N}} \quad \text{iff} \quad \mathring{Q} \stackrel{\scriptscriptstyle{\leq}}{\leq} \mathring{P} \setminus \mathring{\mathbb{N}}.$$

$$(2)$$

Shifts:



For all  $P \in \mathbb{P}$  and  $N \in \mathbb{N}, \leq \mathbf{P} \times \dot{\mathbb{P}}, \leq \mathbb{P} \times \mathbb{N}$  and  $\mathbf{v} \leq \mathbf{V} \times \mathbb{N}$  are s.t.:

 $\uparrow P \cdot \leq^{-} N \quad \text{iff} \quad P \leq N \quad \text{iff} \quad P \leq \cdot^{+} \downarrow N \tag{4}$ 

i.e.  $\leq$  is the weakening relation represented by the heterogeneous adjunction  $\uparrow \downarrow_{=}^{\leq^+} \downarrow$ .

Collage posets:  $(\mathring{\mathbb{P}}, \stackrel{\leq}{\leq}^+) := (\mathbb{P} \sqcup \stackrel{\circ}{\mathbb{P}}, \stackrel{\leq}{\leq}^+ \sqcup \stackrel{\leq}{\leq}^+ \sqcup \stackrel{\cdot}{\leq}^+), (\mathring{\mathbb{N}}, \stackrel{\leq}{\leq}^-) := (\mathbb{N} \sqcup \stackrel{\circ}{\mathbb{N}}, \stackrel{\leq}{\leq}^- \sqcup \stackrel{\cdot}{\leq}^- \sqcup \stackrel{\cdot}{\leq}^-).$ Collage weakening relation:  $\stackrel{\circ}{\leq} := \stackrel{\leq}{\leq} \sqcup \stackrel{\leq}{\leq} \sqcup \stackrel{\cdot}{\leq} \subseteq \stackrel{\circ}{\mathbb{P}} \times \mathring{\mathbb{N}}.$ 

#### (Heterogeneous multi-type proper) focused display calculus fD.LG

Notation:  $\mathring{P} \in \{P, \dot{P}\}$ , resp.  $\mathring{N} \in \{N, \dot{N}\}$ .

$$\begin{array}{rcl} P & := & p \mid \mathring{P} \otimes \mathring{P} \mid (\mathring{P} \oslash \mathring{N}) \mid (\mathring{N} \otimes \mathring{P}) \\ N & := & n \mid (\mathring{N} \oplus \mathring{N}) \mid \mathring{P} \setminus \mathring{N} \mid \mathring{N} / \mathring{P} \\ \dot{P} & := & \downarrow N \\ \dot{N} & := & \uparrow P \end{array}$$

Pure positive formulas  $(\downarrow \dot{\Delta})$ Pure negative formulas  $(\uparrow \dot{X})$ Shifted positive formulas Shifted negative formulas

Well-formed sequents (sequents in grey cells are not derivable):

Positive sequents	<i>X</i> ⊦+ Y	X ++ Υ	<i>X</i> ⊢· <sup>+</sup> Ý	Χ́ ⋅⊢· <sup>+</sup> Ύ
Negative sequents	Δ - Γ	∆ .⊢_ L	Δ <b>⊦</b> . <sup>−</sup> Γ΄	∆ .⊢ Ļ
Neutral sequents	$X \vdash \Delta$	X·⊢ Δ	X⊦·∆́	×́ ·⊦· ∆́

Each consequence relation is interpreted by a W.R. as follows:



$${}^{\otimes_L} \frac{\mathring{P} \hat{\otimes} \mathring{Q} \stackrel{`}{\vdash} \mathring{\Delta}}{\mathring{P} \otimes \mathring{Q} \stackrel{`}{\vdash} \mathring{\Delta}} \frac{\mathring{X} \stackrel{`}{\vdash} \stackrel{*}{P} \stackrel{`}{Y} \stackrel{*}{\vdash} \stackrel{*}{Q}}{\mathring{X} \hat{\otimes} \mathring{Y} \stackrel{!}{\vdash} \stackrel{*}{P} \otimes \mathring{Q}} {}^{\otimes_R}$$

$$\sum_{k} \frac{\mathring{X} \stackrel{h}{\models}^{+} \mathring{P}}{\mathring{P} \setminus \mathring{N} \stackrel{h}{\models}^{-} \mathring{X} \setminus \Delta} = \frac{\mathring{X} \stackrel{h}{\models} \mathring{P} \setminus \mathring{N}}{\mathring{X} \stackrel{h}{\models} \mathring{P} \setminus \mathring{N}} \sum_{k} \sum_$$

$$\downarrow_{L} \frac{N \vdash^{-} \Delta}{\downarrow N \vdash^{+} \downarrow \Delta} \frac{\mathring{X} \stackrel{+}{\vdash} \downarrow N}{\mathring{X} \stackrel{+}{\vdash} \downarrow N} \downarrow_{R} \qquad \uparrow_{L} \frac{\widehat{\uparrow} P \stackrel{+}{\vdash} \stackrel{\Delta}{\Delta}}{\uparrow P \stackrel{+}{\vdash} \stackrel{\Delta}{\Delta}} \frac{X \vdash^{+} P}{\widehat{\uparrow} X \vdash^{-} \uparrow P} \uparrow_{R}$$
(8)

### **Display postulates:**

Structural rules for shifts:

$$\frac{\mathring{X} \mathring{\vdash} \Delta}{\mathring{X} \mathring{\vdash}^{+} \oiint \Delta} \stackrel{\text{I}}{\longrightarrow} \hat{\uparrow} \frac{X \mathring{\vdash} \mathring{\Delta}}{\widehat{\uparrow} X \mathring{\vdash}^{-} \mathring{\Delta}}$$
(10)





Bias assignment: np :: positive, s :: negative.



### Conclusions

#### What we did.

#### Lambek-Grishin logic:

- focused display calculus fD.LG
  - → canonical cut-elimination and strong focalization
- fully polarized algebraic semantics FP.LG
  - ∞→ semantic proof of completeness of focusing

#### Future work.

- We expect that the present approach:
- (1) extends to every (first-order) displayable logic;
- (2) can be lifted to categories (profunctors instead of w.r.).
- (2.a) So far, we defined a categorical Lindenbaum-Tarsky construction producing the free residuated category generated by D.L;

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