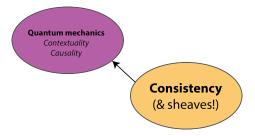
Causality and Signalling in Garden-Path Sentences

Samson Abramsky on Logic and Structure in Computer Science and Beyond

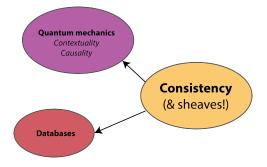
> Daphne Wang & Mehrnoosh Sadrzadeh 20th September 2023



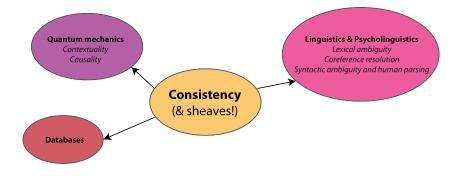




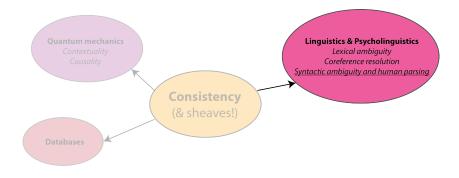


















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- NP/S The employees understood the contract would change
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The psycholinguistics theories





The psycholinguistics theories

The employees $_$



The psycholinguistics theories

The employees understood



The psycholinguistics theories

The employees understood the _



The psycholinguistics theories

The employees understood the contract

The employees understood the contract_

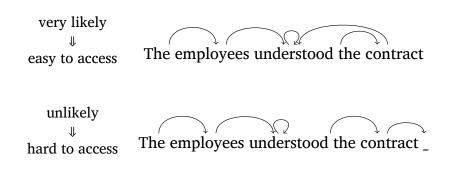


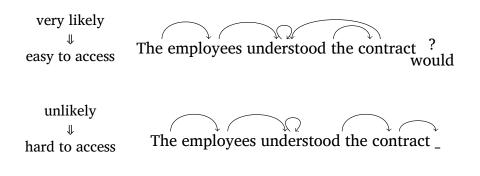
very likely ↓ easy to access

The employees understood the contract

The employees understood the contract _

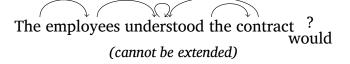








impossible

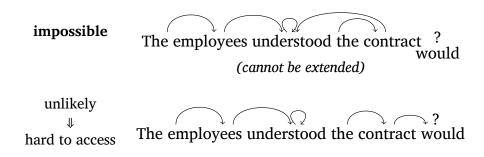


unlikely ↓ hard to access

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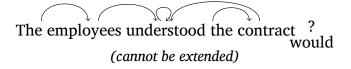








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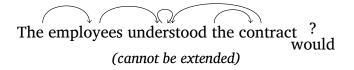


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(can be extended)



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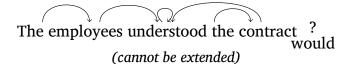
has to be adopted The employees understood the contract would _

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impossible



has to be
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Computational models of human behaviour



Words that are predictable (in context) are read more easily

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Example:

- 1. The boat passed easily under the ...
- 2. Rita slowly walked down the shaky ...

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Example:

- 1. The boat passed easily under the **bridge**
- 2. Rita slowly walked down the shaky bridge

Words that are predictable (in context) are read more easily

Example:

 The boat passed easily under the bridge
Rita slowly walked down the shaky bridge ("bridge" in 1. read faster than "bridge" in 2.)



Definition

The surprisal of a word w_n in the context $w_1 \dots w_{n-1}$ is given by:

$$S(w_n) = -\log_2 (P[w_n \mid w_1 \dots w_{n-1}])$$



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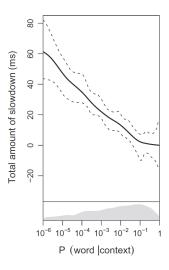
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 - Works well in naturalistic sources (e.g. newspapers, novels, etc.)

Computational models of human behaviour

Computational models based on surprisal



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Under-estimates the slowdown



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| NP/Z | 30 | 400 |



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- Grammatical structure is implicit (Do large language models know about grammar?)
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- Cannot deal with reanalysis





$$\mathscr{C}_1$$
 The $\xrightarrow{\leq}$ The employees



Definition of the model

 \mathscr{C}_2 The employees $\stackrel{\leq}{\longrightarrow}$ The employees understood



Definition of the model

 \mathscr{C}_3 The employees understood $\stackrel{\leq}{\rightarrow}$ The employees understood the



Definition of the model

 \mathscr{C}_2 The employees $\stackrel{\leq}{\longrightarrow}$ The employees understood

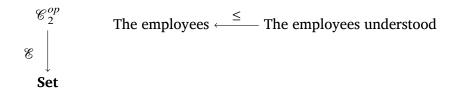


Definition of the model

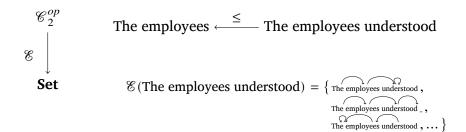
 \mathcal{C}_2^{op}

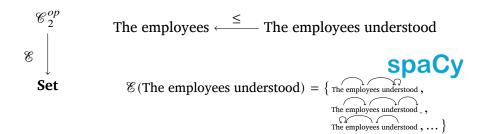
The employees $\stackrel{\leq}{\longrightarrow}$ The employees understood





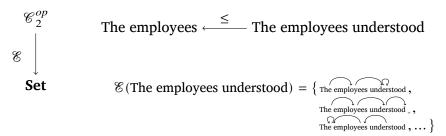




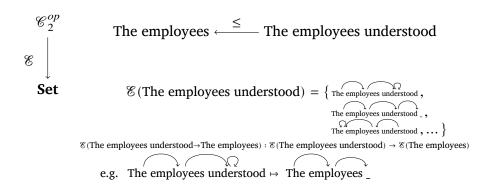




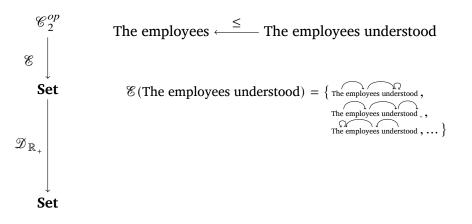
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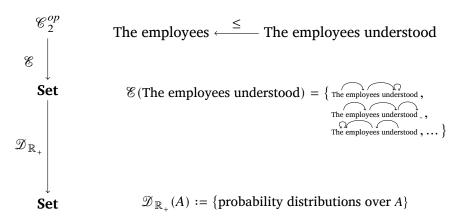
 $\mathscr{C}(\text{The employees understood} \rightarrow \text{The employees}) : \mathscr{C}(\text{The employees understood}) \rightarrow \mathscr{C}(\text{The employees})$





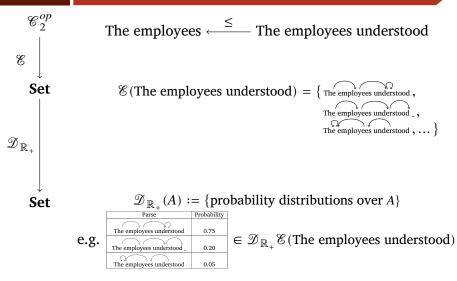




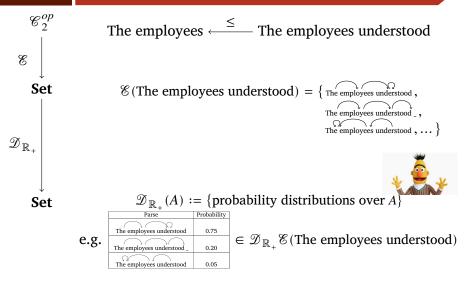


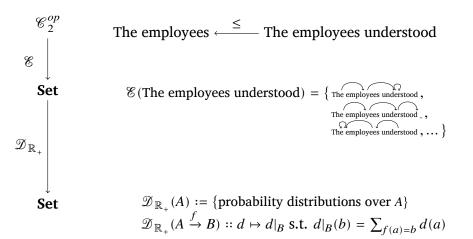


Definition of the model



10/18







The signalling fraction

Consistency (General case)

A

В



The signalling fraction

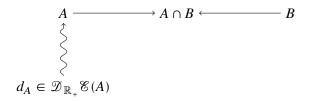
Consistency (General case)

$$A \xrightarrow{} A \cap B \xleftarrow{} B$$



The signalling fraction

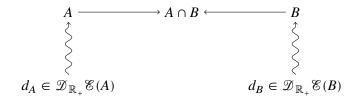
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The signalling fraction

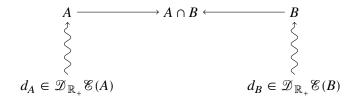
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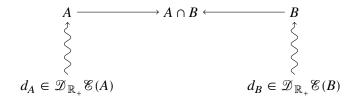


 $d = \{d_A, d_B\}$ consistent $\iff d_A|_{A \cap B} = d_B|_{A \cap B}$



The signalling fraction

Consistency (General case)



 $d = \{d_A, d_B\}$ consistent $\iff d_A|_{A \cap B} = d_B|_{A \cap B}$ (sheaf condition)





The Signalling Fraction (SF) (General case)

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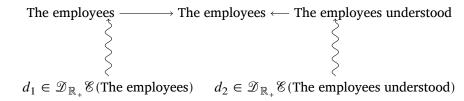
Definition

Given $d = \{d_1, d_2\}$, we define SF to the minimal $\lambda \in [0, 1]$ s.t. $\exists d_{NS}$ consistent and d' (not necessarily consistent) s.t.:

$$d = (1 - \lambda) \cdot d_{NS} + \lambda \cdot d'$$

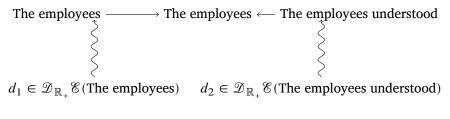


The Signalling Fraction (SF) (Example)





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SF is the minimal λ s.t.:

$$d = (1 - \lambda) \cdot d_{NS} + \lambda \cdot d'$$

where: $d_{NS,\text{The employees understood}}\Big|_{\text{The employees}} = d_{NS,\text{The employees}}$



The signalling fraction

Interpretation of SF

Low SF (mostly consistent)



The signalling fraction

Interpretation of SF

Low SF (mostly consistent) \implies Low need for reanalysis



The signalling fraction

Interpretation of SF

Low SF (mostly consistent) \implies Low need for reanalysis

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Interpretation of SF

Low SF (mostly consistent) \implies Low need for reanalysis

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 $\Rightarrow\,$ Should have a correlation between reading difficulty (reading times) and SF



| Surprisal | SF |
|---------------|----|
| | |
| | |
| | |
| | |
| | |



| | Surprisal | SF |
|--------------------|-----------|----|
| Forward- | | |
| looking/Predictive | | |
| | | |
| | | |
| | | |

| | Surprisal | SF |
|--------------------------------|-----------|-----|
| Forward- looking/Predictive | Yes | Yes |
| | | |
| | | |

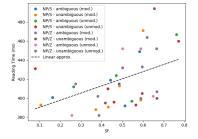
| | Surprisal | SF |
|--------------------------------|-----------|-----|
| Forward- looking/Predictive | Yes | Yes |
| Uses grammatical | | |
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| | | |

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| | | |

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| Forward- | Yes | Yes |
| looking/Predictive | 105 | 105 |
| Uses grammatical | ? | Yes |
| structure | ÷ | 105 |
| Parallel model | | |

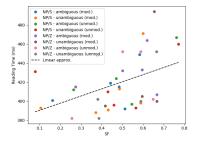
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|--------------------|-----------|-----|
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| Uses grammatical | ? | Yes |
| structure | ÷ | 105 |
| Parallel model | ? | Yes |

Empirical Results - Correlation





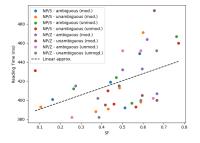
Empirical Results - Correlation



Pearson's ρ : 0.78



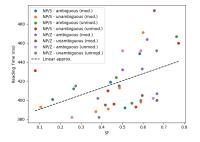
Empirical Results - Correlation



Pearson's ρ: 0.78
p-value: 0.0004



Empirical Results - Correlation



- Pearson's ρ : 0.78
- *p*-value: 0.0004
- $\blacktriangleright RT \simeq 75 \text{SF} + 383 \text{ ms}$



Empirical Results - Predictions

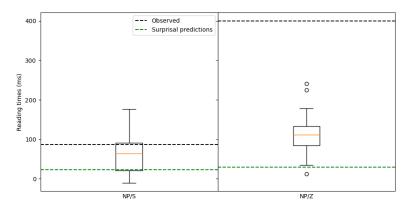


Figure: Garden-path effect predictions from SF



Empirical Results - Levels of difficulty

| | | BERT model | | |
|-------------|-----------------|------------|-----------|------------|
| | | distilbert | bert-base | bert-large |
| spaCy model | en_core_web_sm | 0.03 | 0.01 | 0.09 |
| | en_core_web_lg | 0.02 | 0.04 | 0.24 |
| | en_core_web_trf | 0.39 | 0.0001 | 0.01 |

Figure: *p*-values associated with the *t*-test evaluating whether the garden-path effect predictions obtained from SF for NP/S and NP/Z are sampled from the same distribution.







Summary of the results

Created a presheaf model of the human parsing process, which is close to the theories of psycholinguistics



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- We used a measure of "sheafness" SF to quantify the difficulty of parsing words in a sentence



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- We obtained good correlations between SF and reading times
- We managed to obtain statistically differences between predictions from garden-path sentences which have different levels of difficulty
- We compared our results with the state-of-the-art methods from computational linguistics, and obtained more accurate predictions





Future work

 Add more structure to the presheaf (e.g. include semantic information)





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- Combine approaches using SF and surprisal



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- Produce models of the reanalysis process

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- Combine approaches using SF and surprisal
- Produce models of the reanalysis process
- Use this model to investigate other linguistic phenomena (e.g. memory effects)
- Contextuality with $k < \infty$ -lookback?



Thank you!

To appear in Philosophical Transactions of Royal Society A as "Causality and Signalling in Garden-Path Sentences"

